

# THEORY OF COMPUTATION

## Unsolvability Word Problems - 23

Prof. Dan A. Simovici

UMB

# 1 Unsolvable Word Problems

## Definition

The **word problem for a semi-Thue process**  $\Pi$  is the determination if for any pair  $u, v$  of words on the alphabet of  $\Pi$  we have  $u \xrightarrow[\Pi]{*} v$ .

Recall that a TM  $\mathcal{M}$  defines two semi-Thue systems:

- $\Sigma(\mathcal{M})$  that has productions associated with the quadruples of a TM  $\mathcal{M}$  aims to simulate the effect of quadruples on Post words.

Quadruple	semi-Thue Production
$q_i s_j s_k q_\ell$	$q_i s_j \rightarrow q_\ell s_k$
$q_i s_j R q_\ell$	$q_i s_j s_k \rightarrow s_j q_\ell s_k, 0 \leq k \leq K$ $q_i s_j h \rightarrow s_j q_\ell s_0 h$
$q_i s_j L q_\ell$	$s_k q_i s_j \rightarrow q_\ell s_k s_j, 0 \leq k \leq K$ $h q_i s_j \rightarrow h q_\ell s_0 s_j$

- and  $\Omega(\mathcal{M})$  that consists of the inverses of all productions of  $\Sigma(\mathcal{M})$ .

## Theorem

*There is a Turing machine  $\mathcal{M}$  such that the word problem is unsolvable for both  $\Sigma(\mathcal{M})$  and  $\Omega(\mathcal{M})$ .*

## Proof.

We saw that there exists a deterministic TM  $\mathcal{M}$  that accepts a non-recursive language. Suppose that the word problem for  $\Sigma(\mathcal{M})$  were solvable. Then there would be an algorithm for testing given words  $u, v$  to determine whether

$$u \stackrel{*}{\Rightarrow}_{\Pi} v.$$

By a previous theorem, we could use this algorithm to determine whether  $\mathcal{M}$  will accept a given word  $u$  by testing whether

$$hq_1s_0uh \stackrel{*}{\Rightarrow}_{\Sigma(\mathcal{M})} hq_0h.$$

# Proof cont'd

We would thus have an algorithm for testing a given word  $u$  to see whether  $\mathcal{M}$  will accept it. But such an algorithm cannot exist since the language accepted by  $\mathcal{M}$  is not a recursive set. Finally, an algorithm that solved the word problem for  $\Omega(\mathcal{M})$  would also solve the word problem for  $\Sigma(\mathcal{M})$  because

$$u \stackrel{*}{\Rightarrow}_{\Sigma(\mathcal{M})} v \text{ if and only if } u \stackrel{*}{\Rightarrow}_{\Omega(\mathcal{M})} v.$$

## Definition

A semi-Thue process is called a **Thue process** if the inverse of each production in the process is also in the process.

If a Thue process contains both  $x \rightarrow y$  and  $y \rightarrow x$  we write  $x \Leftrightarrow y$ . Also, recall that

$$\Theta(\mathcal{M}) = \Sigma(\mathcal{M}) \cup \Omega(\mathcal{M}).$$

Thus,  $\Theta(\mathcal{M})$  is a Thue process.

## Theorem

**Post's Lemma:** *Let  $\mathcal{M}$  be a deterministic TM. Let  $u$  be a word on the alphabet of  $\mathcal{M}$  such that*

$$hq_1s_0uh \xRightarrow[\Theta(\mathcal{M})]{*} hq_0h.$$

*Then, we have*

$$hq_1s_0uh \xRightarrow[\Sigma(\mathcal{M})]{*} hq_0h.$$

Recall that a **Post word** is a word of the form  $huq_jvh$ .



## Proof

Let the sequence

$$hq_1s_0uh = w_1, w_2, \dots, w_\ell = hq_0h$$

be a derivation in  $\Theta(\mathcal{M})$ . Since  $w_1$  is a Post word, and each production of  $\Theta(\mathcal{M})$  transforms Post words into Post words, we can conclude that the entire derivation consists of Post words. We need to eliminate the production of  $\Omega(\mathcal{M})$  from this derivation. Assume that the **last time** in the derivation that a production of  $\Omega(\mathcal{M})$  was used in getting from  $w_i$  to  $w_{i+1}$ , that is,

$$w_i \xRightarrow{\Omega(\mathcal{M})} w_{i+1} \xRightarrow{\Sigma(\mathcal{M})} \dots \xRightarrow{\Sigma(\mathcal{M})^*} w_\ell = hq_0h.$$

## Proof cont'd

Since  $\Omega(\mathcal{M})$  consists of inverses of productions of  $\Sigma(\mathcal{M})$ , we must have  $w_{i+1} \xRightarrow{\Sigma(\mathcal{M})} w_i$ . Moreover, we must have  $i+1 < \ell$  because no production of  $\Sigma(\mathcal{M})$  can be applied to  $w_\ell = hq_0h$ .

Since  $w_{i+1}$  is a Post word and

$$w_{i+1} \xRightarrow{\Sigma(\mathcal{M})} w_i \text{ and } w_{i+1} \xRightarrow{\Sigma(\mathcal{M})} w_{i+2},$$

it follows that  $w_{i+2} = w_i$ . Thus, the transition from  $w_i$  to  $w_{i+1}$  and back to  $w_{i+2} = w_i$  is unnecessary, that is, the sequence

$$w_1, w_2, \dots, w_i, w_{i+3}, \dots, w_\ell$$

is a derivation in  $\Theta(\mathcal{M})$ . We have shown that any derivation that uses a production from  $\Omega(\mathcal{M})$  can be shortened. Continuing this way we reach a derivation using only  $\Sigma(\mathcal{M})$ .

## Theorem

**(The Post-Markov Theorem)** *If the deterministic TM  $\mathcal{M}$  accepts a non-recursive set, then the word problem for the Thue process  $\Theta(\mathcal{M})$  is unsolvable.*

## Proof.

$\mathcal{M}$  accepts  $u$  if and only if

$$hq_1s_0uh \xrightarrow[\Sigma(\mathcal{M})]{*} hq_0h$$

if and only if

$$hq_1s_0uh \xrightarrow[\Theta(\mathcal{M})]{*} hq_0h.$$

Hence, an algorithm for solving the word problem for  $\Theta(\mathcal{M})$  could be used to determine whether or not  $\mathcal{M}$  will accept  $u$ , which is impossible. □

## Theorem

*There is a semi-Thue process on the alphabet  $\{a, b\}$  whose word problem is unsolvable. Moreover, for each production  $x \rightarrow y$  of this semi-Thue process we have  $x \neq \epsilon$  and  $y \neq \epsilon$ .*

# Proof

Let  $\Pi$  be a semi-Thue process on the alphabet  $A = \{a_1, \dots, a_n\}$ .  
The production of  $\Pi$  are  $x_i \rightarrow y_i$  for  $1 \leq i \leq m$ .  
We assume that  $x_i \neq 0$  and  $y_i \neq 0$  for each  $i$ ,  $1 \leq i \leq m$ . This is OK because this condition is satisfied by the productions of  $\Sigma(\mathcal{M})$ .

# Proof cont'd

Denote a word  $ba^j b$  that consists of  $j$  a(s) between two b(s) as  $a'_j$ .  
If  $w \neq 0$  and  $w = a_{j_1} a_{j_2} \cdots a_{j_k}$ , then we can encode  $w$  as a word  $w'$  in  $\{a, b\}^*$  defined as

$$w' = a'_{j_1} a'_{j_2} \cdots a'_{j_k}.$$

In addition,  $0' = 0$ .

# Proof cont'd

For example, if  $w = a_2 a_1 a_3$ , then

$$w' = baabbabbaaab.$$

## Proof cont'd

Consider the semi-Thue process  $\Pi'$  on the alphabet  $\{a, b\}$  whose productions are  $x'_i \rightarrow y'_i$ .

**Claim 1:** If  $u \xRightarrow{\Pi} v$ , then we have  $u' \xRightarrow{\Pi'} v'$ .

Indeed, if  $u = rx_i s$  and  $v = ry_i s$ , we have  $u' = r'x'_i s'$  and  $v' = r'y'_i s'$ , so  $u' \xRightarrow{\Pi'} v'$ .



## Proof cont'd

**Claim 2:** If  $u' \xRightarrow{\Pi'} w$  then for some  $v \in A^*$  we have  $w = v'$  and  $u \xRightarrow{\Pi} v$ .

We have  $u' = px'_i q$  and  $w = py'_i q$ . Since  $x_i \neq 0$ ,  $y_i$  begins and ends with a  $b$ . Hence, each of  $p$  and  $q$  either begins and ends with a  $b$  or is 0, so that  $p = r'$ ,  $q = s'$ . Then,  $u = rx_i s$ . Let  $v = ry_i s$ . Then  $w = v'$  and  $u \xRightarrow{\Pi} v$ .

**Claim 3:** We have  $u \xrightarrow[\Pi]{*} v$  if and only if  $u' \xrightarrow[\Pi']{*} v'$ .

If

$$u = u_1 \xrightarrow[\Pi]{\Rightarrow} u_2 \xrightarrow[\Pi]{\Rightarrow} \cdots \xrightarrow[\Pi]{\Rightarrow} u_n = v,$$

then by Claim 1,

$$u' = u'_1 \xrightarrow[\Pi']{\Rightarrow} u'_2 \xrightarrow[\Pi']{\Rightarrow} \cdots \xrightarrow[\Pi']{\Rightarrow} u'_n = v'.$$

Claim 3 continued: Conversely, if

$$u' = u'_1 \xrightarrow{\Pi'} u'_2 \xrightarrow{\Pi'} \cdots \xrightarrow{\Pi'} u'_n = v',$$

then by Claim 2, for each  $w_i$  there is a string  $u_i \in A^*$  such that  $w_i = u'_i$ . Thus,

$$u' = u'_1 \xrightarrow{\Pi'} u'_2 \xrightarrow{\Pi'} \cdots \xrightarrow{\Pi'} u'_n = v'.$$

By applying again Claim 2, we have:

$$u = u_1 \xrightarrow{\Pi} u_2 \xrightarrow{\Pi} \cdots \xrightarrow{\Pi} u_n = v,$$

so that  $u \xrightarrow{\Pi}^* v$ .

# Proof cont'd;

By Claim 3, if the word problem were solvable for  $\Pi'$ , the word problem for  $\Pi$  would also be solvable. Hence, the word problem for  $\Pi'$  is unsolvable.

If the semi-Thue process on the alphabet  $\{a, b\}$  is actually a Thue process, then  $\Pi'$  will be a Thue process on  $\{a, b\}$ . Thus, we have:

### Theorem

*There is a Thue process on the alphabet  $\{a, b\}$  whose word problem is unsolvable. Moreover, for each production  $x \rightarrow y$  of this Thue process,  $x, y \neq \epsilon$ .*