### **Non-Regular Languages**

Sept 30, 2020

### HW3

- Due in 12 days (Sun Oct 11, 11:59pm EST)
- Last assignment with coding (for a while at least  $\odot$ )
- Really fun!

### **HW2 presentations**

Oscar (python) Francisco (java) Ivana (python)

## So ... XML is not a regular language

- How do we know?
- In general, we have many ways to show a lang is regular
  - Construct DFA or NFA
  - Create regular expressions
- But how do we show that a language is <u>not regular</u>???

## <u>Recall</u>: Designing DFAs or NFAs

### • States = the machine's **memory**!

- Each state "stores" some information
- Finite states = finite amount of memory
- And must be allocated in advance
- Can't do this with input:



## A non-regular language

- L = { 0<sup>n</sup>1<sup>n</sup> | n >= 0 }
- A DFA recognizing L would require infinite states! (impossible)
- This lang is the essence of XML!
  - To better see this replace "0" -> "<tag>" and "1" -> "</tag>"
- The problem is the **<u>nestedness</u>** 
  - Regular langs cannot keep track of arbitrary nestedness
  - So most programming langs are also not regular!

### How to prove a language is <u>not</u> regular?

## The "Pumping" Lemma

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

**1.** for each  $i \ge 0$ ,  $xy^i z \in A$ , **2.** |y| > 0, and **3.**  $|xy| \le p$ .

### What the heck???

## The "Pumping" <u>Length</u>

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- 1. for each  $i \ge 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and
- **3.**  $|xy| \le p$ .
- What is the pumping <u>length</u> saying? (you get to choose p!)
- Langs that are finite, e.g., { "ab", "cd" } or { } are obviously regular
  - Just choose pumping length > longest string
- Only infinite languages are interesting!
  - Pumping length p >= num states: guarantees <u>repeated</u> states

## The Pigeonhole Principle



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- "Long enough" strings <u>must</u> repeat, since there are only finite states.
  - "Pigeonhole principle"

## The "Pumping" Lemma

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- "Long enough" strings <u>must</u> repeat, since there are only finite states.
  - "Pigeonhole principle"
- Strings that <u>repeat</u> states can be split into:
  - x = the part <u>before</u> any repeating
  - y = the repeated part
  - z = the part <u>after</u> any repeating

### Pumping Lemma: Example

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

**1.** for each  $i \ge 0, xy^i z \in A$ , **2.** |y| > 0, and **3.**  $|xy| \le p$ .

Let B be the language  $\{0^n 1^n | n \ge 0\}$ . We use the pumping lemma to prove that B is not regular. The proof is by contradiction.

### **Poll: Conditional Statements**

## Equivalence of Conditional Statements

- Yes or No? "If X then Y" is equivalent to:
  - "If Y then X" (converse)
    - No
  - "If not X then not Y" (inverse)
    - No
  - "If not Y then not X" (contrapositive)
    - <u>Yes</u>
    - Proof by contradiction relies on this equivalence

## Kinds of Mathematical Proof

- Proof by construction
  - Construct the object in question
- Proof by contradiction
  - Proving the contrapositive
- Proof by induction
  - Use to prove properties of recursive definitions or functions

# The "Pumping" Lemma ... then the language is **not** regular

**Pumping lemma** If A is a regular language, then there is a number p (the pumping length) where if s is any string in A of length at least p, then s may be divided into three pieces, s = xyz, satisfying the following conditions:

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If any of these are **not** true ...

<u>Contrapositive</u>: "If X then Y" is <u>equivalent</u> to "If **not** Y then **not** X

### Pumping Lemma: Example

Let B be the language  $\{0^n 1^n | n \ge 0\}$ . We use the pumping lemma to prove that B is not regular. The proof is by contradiction.

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- 1. for each  $i \ge 0$ ,  $xy^i z \in A$ ,
- **2.** |y| > 0, and
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The language  $B = \{0^n 1^n \mid n \ge 0\}$  is not regular.

#### Proof.

Proof annotated with commentary in blue. (Not included in typical proof.)

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- 3. Present counterexample: Choose s to be the string  $0^{p}1^{p}$ .

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- Show contradiction of assumption: Because s ∈ B and has length > p, the pumping lemma guarantees that s can be split into three pieces s = xyz where xy<sup>i</sup>z ∈ B for i ≥ 0. But this is impossible.

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- 5. The contradiction step may require a more detailed case analysis of scenarios. There are three possible cases:

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  - 5.1 *y* is all 0s: When pumped, e.g., *xyyz*, the string is not in *B* because it has more 0s than 1s, breaking condition 1 of the pumping lemma. So we have a contradiction.

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    5.2 y is all 1s: Same as above.

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  - 5.2 y is all 1s: Same as above.
  - 5.3 y has both 0s and 1s: Pumped string preserves the counts is out of order, so is not in B, breaking condition 1.

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  - 5.2 y is all 1s: Same as above.
  - 5.3 y has both 0s and 1s: Pumped string preserves the counts is out of order, so is not in B, breaking condition 1.
- 6. Conclusion: Since all cases result in contradiction, B must not be regular.

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- 6. Alternate Proof: Last 2 cases not needed; see pumping lemma, condition 3.

#### Theorem

The language  $F = \{ww \mid w \in \{0,1\}^*\}$  is not regular.

#### Proof.

Proof annotated with commentary in blue. (Not included in typical proof.)

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- 3. Present counterexample: Choose s to be the string  $0^{p}10^{p}1$ .

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- Show contradiction of assumption: Because s ∈ F and has length > p, the pumping lemma guarantees that s can be split into three pieces s = xyz where xy<sup>i</sup>z ∈ F for i ≥ 0. But this is impossible.

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The language E = \{0^i 1^j \mid i > j\} is not regular.
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- 5. Again, one possible case. According to condition 3 of the pumping lemma  $|xy| \le p$ . So p is all 0s. But then  $xz \notin E$  (i = 0), breaking condition 1 of the pumping lemma. So we have a contradiction.

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6. Conclusion: Since all cases result in contradiction, E must not be regular.

### **Check-in Quiz 9/30**

On gradescope

### **End of Class Survey 9/30**

See course website