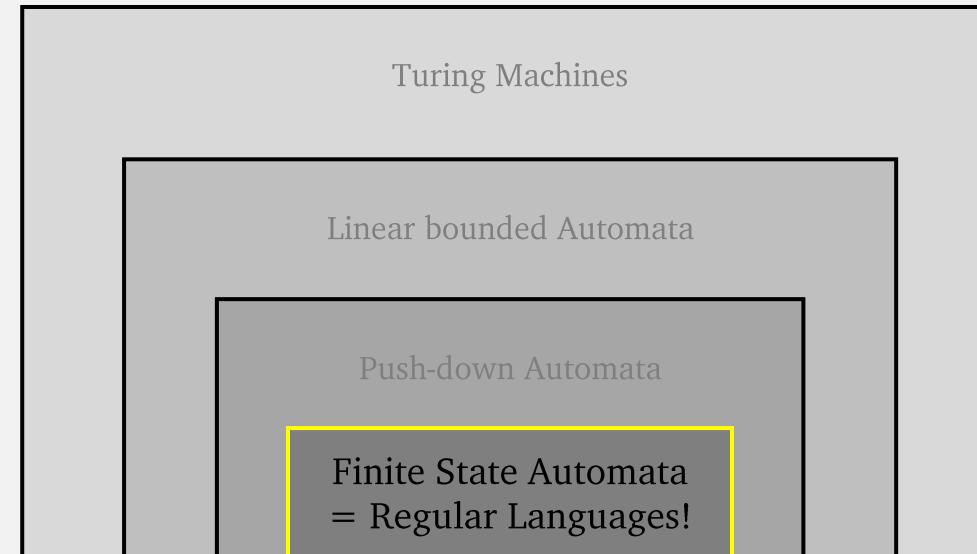


CS 420 / CS 620

# Regular Languages

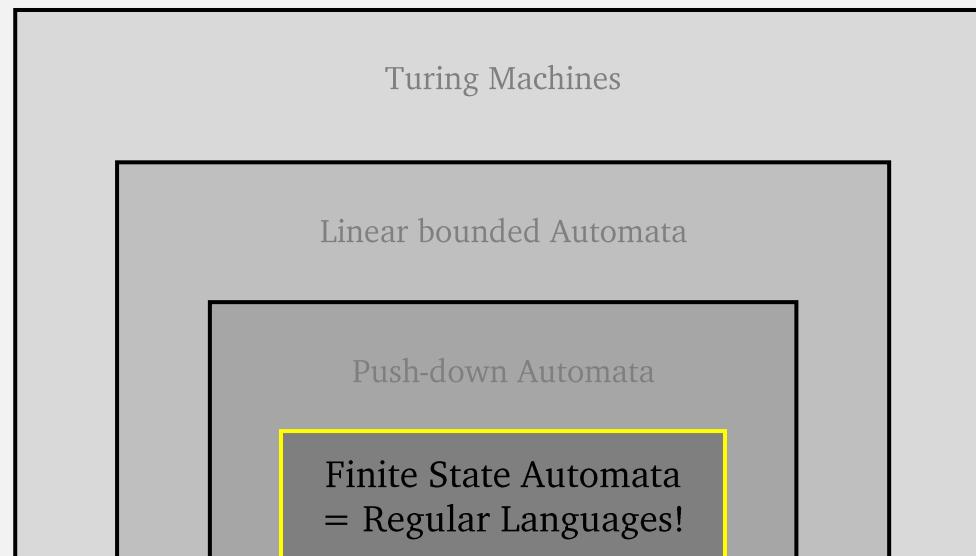
Wednesday, September 17, 2025

UMass Boston Computer Science



## *Announcements*

- HW 2 out
  - Due: Mon 9/22 12pm (noon)

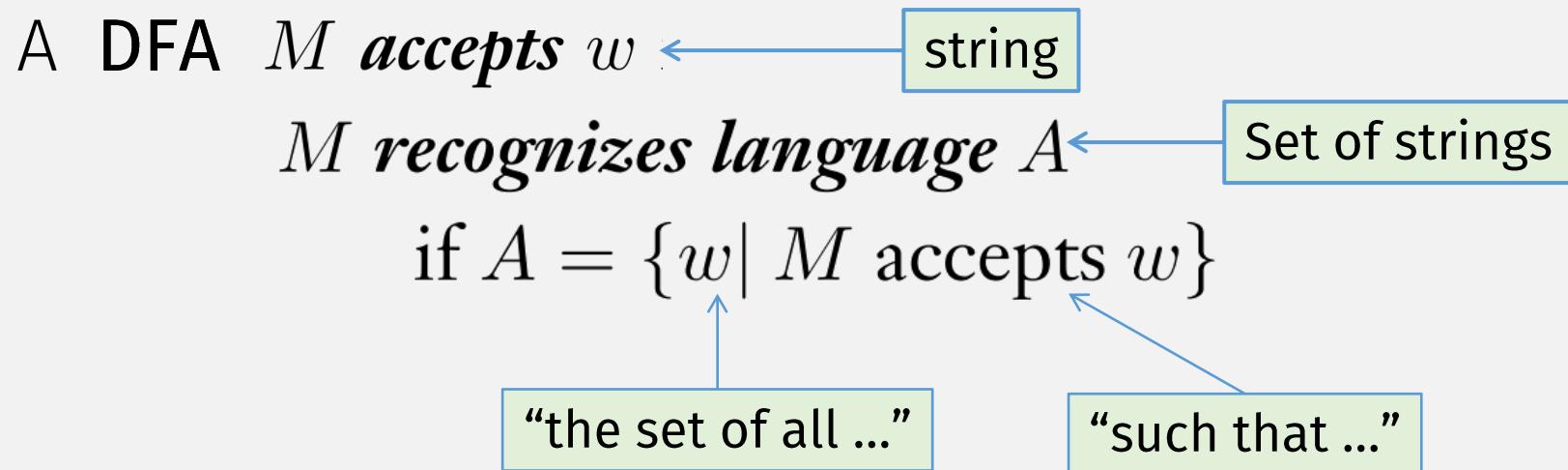


# Machine and Language Terminology

A DFA  $M$  **accepts**  $w$  if  $\hat{\delta}(q_0, w) \in F$   
 $= (Q, \Sigma, \delta, q_0, F)$

# Machine and Language Terminology

- The **language** of a machine = set of strings that it **accepts**



# Machine and Language Terminology

- The **language** of a machine = set of strings that it **accepts**

DFA  $M$  **accepts**  $w$

$M$  **recognizes language**  $L(M)$

$$L(M) = \{w \mid M \text{ accepts } w\}$$

$L$  commonly used as  
function mapping  
**Machine  $\rightarrow$  Language**

# Machine and Language Terminology

- The **language** of a machine = set of strings that it **accepts**

DFA  $M$  *accepts*  $w$

$M$  *recognizes language*  $L(M)$

- Language of  $M$  =  $L(M) = \{w \mid M \text{ accepts } w\}$

# Languages Are Computation Models

- The **language** of a machine = set of strings that it **accepts**
  - E.g., a DFA recognizes a language
- A **computation model** = set of machines it defines
  - E.g., all possible DFAs are a computation model

---

#### DEFINITION

A *finite automaton* is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set called the *states*,
2.  $\Sigma$  is a finite set called the *alphabet*,
3.  $\delta: Q \times \Sigma \rightarrow Q$  is the *transition function*,
4.  $q_0 \in Q$  is the *start state*, and
5.  $F \subseteq Q$  is the *set of accept states*.

= set of set of strings

Thus: a **computation model** equivalently = a set of languages

This class is really about studying sets of languages!

# Regular Languages

- first set of languages we will study: **regular languages**

This class is really about studying sets of languages!

# Regular Languages: Definition

Definition of Regular Language

If a **deterministic finite automata (DFA)** recognizes a language, then that language is called a **regular language**.

# A Language, Regular or Not?

- If given: a DFA  $M$  “P”
  - We know:  $L(M)$ , the language recognized by  $M$ , is a regular language “Q”  
Definition of Regular Language
- If given: a Language  $A$ 
  - Is  $A$  a regular language?
    - Not necessarily!

Proof : ??????

# Proving That a Language is Regular

Prove: A language  $L = \{ \dots \}$  is a regular language

Proof:

## Statements

1. DFA  $M = (Q, \Sigma, \delta, q_0, F)$   
(TODO: actually define  $M$ )  
(no unbound variables!)

2. DFA  $M$  recognizes  $L$

3. If a DFA recognizes  $L$ , “ $Pthen  $L$  is a regular language$

4. Language  $L$  is a regular language

## Justifications

1. Definition of a DFA

2. TODO: ???

3. Definition of a regular language

4. Stmts 2 and 3  
(and modus ponens)

### Modus Ponens

If we can prove these:

- If  $P$  then  $Q$
- $P$

Then we've proved:

- $Q$

# A Language: strings with odd # of 1s

(2 min)

- In-class exercise (submit to gradescope):

String	In the language?
1	Yes
0	No
01	Yes
11	No
1101	Yes
$\epsilon$	no

$$\Sigma = \{0,1\}$$

If a DFA recognizes a language,  
then that language is called a **regular language**.

How to prove the language is regular?

Prove there's a DFA recognizing it!

Come up with string examples  
(in a table), both  
- in the language  
- and not in the language

# Proving That a Language is Regular

Prove: A language  $L = \{ \dots \}$  is a regular language

Proof:

## Statements

1.  $\text{DFA } M = (Q, \Sigma, \delta, q_0, F)$   
(TODO: actually define  $M$ )  
(no unbound variables!)
2. DFA  $M$  recognizes  $L$
3. If a DFA recognizes  $L$ ,  
then  $L$  is a regular language
4. Language  $L$  is a regular language

## Justifications

1. Definition of a DFA
2. TODO: ???
3. Definition of a regular language
4. Stmts 2 and 3  
(and modus ponens)

# Designing Finite Automata: Tips

- Input is read only once, one char at a time (can't go back!)
- Must decide accept/reject after that
- States = the machine's “memory”!
  - # states must be decided in advance
  - Think about what information must be “remembered”.
- Every state/symbol pair must have a defined transition (for DFAs)
- Come up with examples to help you!

# Design a DFA: accept strs with odd # 1s

- States:

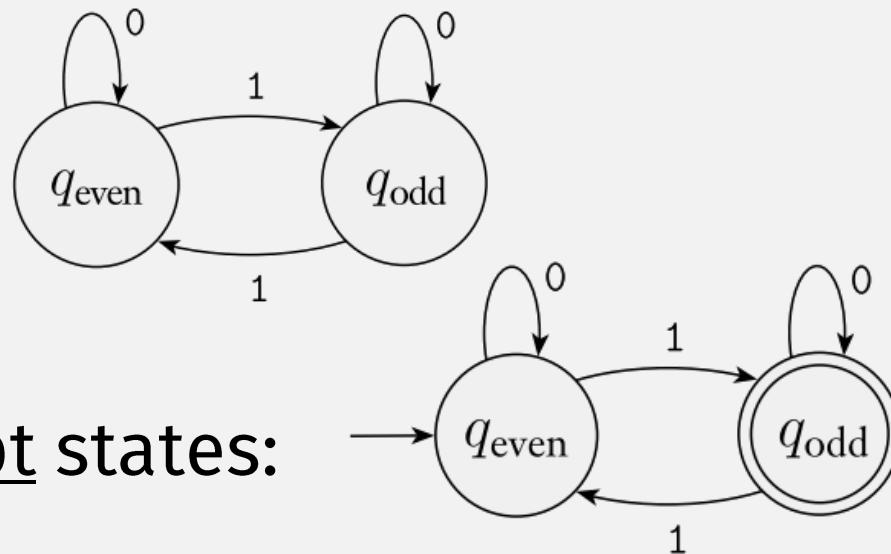
- 2 states:

- seen even 1s so far
- seen odds 1s so far



- Alphabet: 0 and 1

- Transitions:



# Proving That a Language is Regular

Prove: A language  $L = \{ \dots \}$  is a regular language

Proof:

## Statements

1. DFA  $M = \langle \dots \rangle$

See state diagram  
(only if problem allows!)

2. DFA  $M$  recognizes  $L$
3. If a DFA recognizes  $L$ ,  
then  $L$  is a regular language
4. Language  $L$  is a regular language

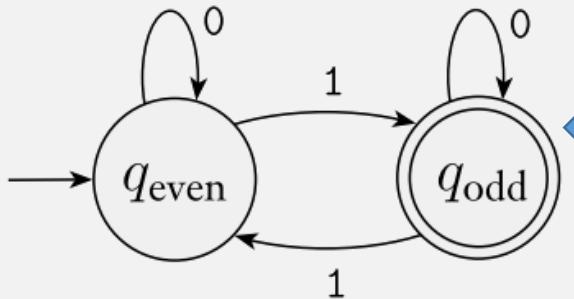
## Justifications

1. Definition of a DFA

2. TODO: ???

3. Definition of a regular language
4. Stmts 2 and 3  
(and modus ponens)

# “Prove” that DFA recognizes a language



Language = {  $w \mid w$  has odd # of 1s}

String	In the language?
1	Yes
0	No
01	Yes
11	No
1101	Yes
$\epsilon$	no

$$\Sigma = \{0,1\}$$

Q1: How can we “prove” that a computation does what it’s “supposed to do”?

These kinds of proofs (e.g., HMU 2.3.4) are usually hard, sometimes impossible!

... so we will not do them in this course

But we still need to do something ...

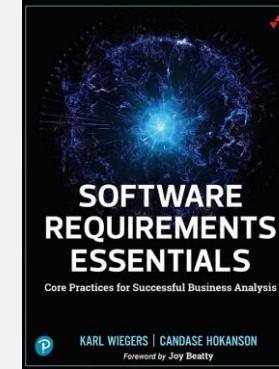
Q2: How do programmers “prove” that a computation does what it’s “supposed to do”?

i.e., that the program is “correct”?

# *Interlude:* Software Dev 101

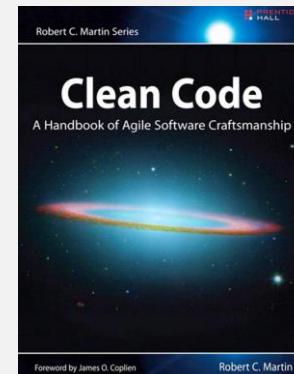
## Specification (i.e., requirements):

- What the computation, i.e., program, should do



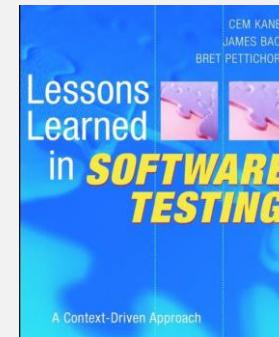
## Implementation (i.e., the code):

- What the program does



## Verification (i.e., testing):

- Does the program do what it's supposed to?
- (i.e., is the program “correct”?)



# “Prove” that DFA recognizes a language

Verification  
(does the program do what it's supposed to do?)

These columns must match for the DFA to be “correct”!

Confirm the DFA:  
- Accepts strings in the language  
- Rejects strings not in the language

- In-class exercise (part 2):

(2 min)

Not a real proof, but ...

In this class, a table like this is sufficient to “prove” that a DFA recognizes a language

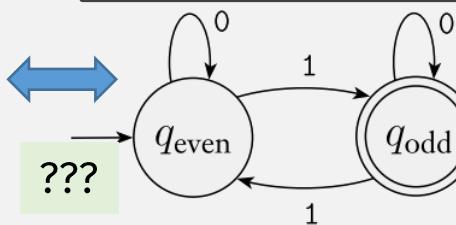
Analogous to what programmers do (write tests) to “prove” their computation (code) “works”

String	In the language?
1	Yes
0	No
01	Yes
11	No
1101	Yes
$\epsilon$	no

Specification  
(what the program should do)

Implementation  
(what the program does)

Language = {  $w \mid w$  has odd # of 1s }



# Proving That a Language is Regular

Prove: A language  $L = \{ \dots \}$  is a regular language

Proof:

## Statements

1. DFA  $M =$

See state diagram  
(only if problem allows!)

2. DFA  $M$  recognizes  $L$

3. If a DFA recognizes  $L$ ,  
then  $L$  is a regular language

4. Language  $L$  is a regular language

## Justifications

1. Definition of a DFA

Not a real proof, but ...

In this class, an “Examples Table” is sufficient to “prove” that a DFA recognizes a language

2.  See Examples Table

3. Definition of a regular language

4. Stmt 2 and 3  
(and modus ponens)



# Another In-class exercise

Remember:

To understand the language, always come up with string examples first (in a table)! Both:  
- in the language  
- and not in the language

- Prove: the following language is a regular language:

- $A = \{ w \mid w \text{ has exactly three 1's} \}$

You will need this later in the proof anyways!

- Where  $\Sigma = \{0, 1\}$ ,

## DEFINITION

A **finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set called the **states**,
2.  $\Sigma$  is a finite set called the **alphabet**,
3.  $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**,
4.  $q_0 \in Q$  is the **start state**, and
5.  $F \subseteq Q$  is the **set of accept states**.

# Proving That a Language is Regular

Prove: A language  $L = \{ \dots \}$  is a regular language

Proof:

## Statements

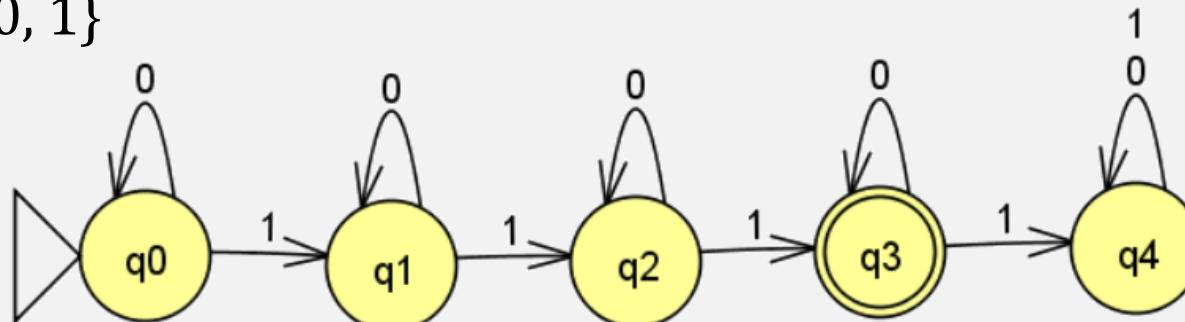
1. DFA  $M = (Q, \Sigma, \delta, q_0, F)$   
(TODO: actually define  $M$ )  
(no unbound variables!)
2. DFA  $M$  recognizes  $L$
3. If a DFA recognizes  $L$ ,  
then  $L$  is a regular language
4. Language  $L$  is a regular  
language

## Justifications

1. Definition of a DFA
2. TODO: ???
3. Definition of a regular  
language
4. Stmts 2 and 3  
(and modus ponens)

# In-class exercise Solution

- Design finite automata recognizing:
  - $\{w \mid w \text{ has exactly three 1's}\}$
- States:
  - Need one state to represent how many 1's seen so far
  - $Q = \{q_0, q_1, q_2, q_3, q_{4+}\}$
- Alphabet:  $\Sigma = \{0, 1\}$
- Transitions:
- Start state:
  - $q_0$
- Accept states:
  - $\{q_3\}$



So: a DFA's computation  
recognizes simple string patterns?

Yes!

Have you ever used a programming language feature for recognizing simple string patterns?

Regular Expressions!  
(stay tuned!)

# Programming Advice: Break Down Complex Problems

## 2. Break down the problem

Complexity is really just a bunch of simple problems chained together. Try to break down your task into smaller chunks that are more manageable.

<https://dev.to/nuxt-wimadev/7-powerful-principles-to-tackle-complex-coding-problems-40a5>

- Breaking big scary unknown problems into small manageable ones is a **core skill** for developers. And unlike syntax, it can't be easily learned from google.

This last point should be obvious to anyone who's been coding for a while.

<https://medium.com/@dannysmith/breaking-down-problems-its-hard-when-you-re-learning-to-code-f10269f4cccd5>

## 2. Break it down

After understanding the problem, the next process is to break down the problem into smaller sub-problems.

<https://javascript.plainenglish.io/5-step-process-to-solve-complex-programming-problems-8e4f74cf88e>

... and then **combine the (small) solutions**

# Combining Computation?

(Programmers do this all the time)

## Password Requirements

- » Passwords must have a minimum length of ten (10) characters - but more **is better!**
- » Passwords **must include at least 3** different types of characters:
  - » upper-case letters (A-Z) ← DFA
  - » lower-case letters (a-z) ← DFA
  - » symbols or special characters (%,&,\*,\$,etc.) ← DFA
  - » numbers (0-9) ← DFA
- » Passwords cannot contain all or part of your email address ← DFA
- » Passwords cannot be re-used ← DFA

To match all requirements,  
**combine smaller DFAs into one big DFA?**

[umb.edu/it/software-systems/password/](http://umb.edu/it/software-systems/password/)

# Password Checker DFAs

To combine more than once, this must be a DFA

$M_5$ : "AND"

$M_3$ : "OR"

$M_1$ : Check special chars

$M_2$ : Check uppercase

$M_4$ : Check length

Want to: easily combine DFAs, i.e., composability

We want these operations:

"OR" : DFA  $\times$  DFA  $\rightarrow$  DFA

"AND" : DFA  $\times$  DFA  $\rightarrow$  DFA

To combine more than once, operations must be **closed!**

# “Closed” Operations

- Set of Natural numbers = {0, 1, 2, ...}
  - Closed under addition:
    - if  $x$  and  $y$  are Natural numbers,
    - then  $z = x + y$  is a Natural number
  - Closed under multiplication?
    - yes
  - Closed under subtraction?
    - no
- Integers = {..., -2, -1, 0, 1, 2, ...}
  - Closed under addition and multiplication
  - Closed under subtraction?
    - yes
  - Closed under division?
    - no
- Rational numbers = { $x \mid x = y/z$ ,  $y$  and  $z$  are Integers}
  - Closed under division?
    - No?
    - Yes if  $z \neq 0$

A set is **closed** under an operation if:  
the result of applying the operation to  
members of the set is in the same set

i.e., input set(s) = output set

# Want: “Closed” Ops For Regular Langs!

- Set of Regular Languages =  $\{L_1, L_2, \dots\}$ 
  - Closed under ...?
    - OR (union)
    - AND (intersection)
    - ...

A set is **closed** under an operation if:  
the result of applying the operation to  
members of the set is in the same set

i.e., input set(s) = output set

# Why Care About Closed Ops on Reg Langs?

- Closed operations for regular langs preserve “regularness”
- I.e., it preserves the same computation model!
- Can “combine” smaller “regular” computations to get bigger ones:

For Example:

OR: Regular Lang  $\times$  Regular Lang  $\rightarrow$  Regular Lang

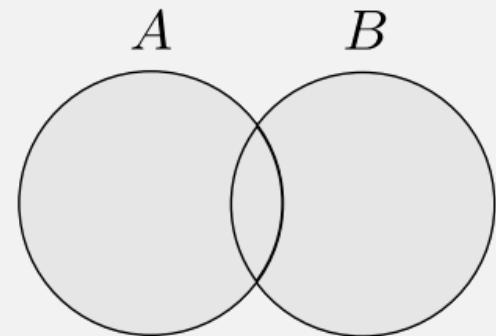
- So this semester, we will look for operations that are **closed**!

# Password Checker: “OR” = “Union”

$M_3$ : “OR”

$M_1$ : Check special chars

$M_2$ : Check uppercase



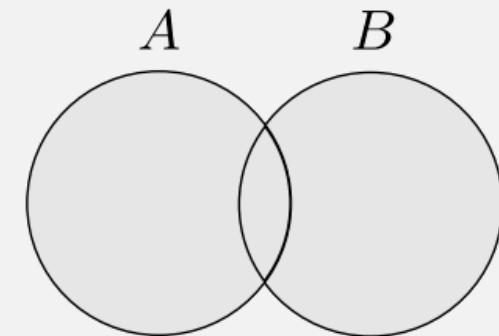
**Union:**  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$

# Union of Languages

Let the alphabet  $\Sigma$  be the standard 26 letters  $\{a, b, \dots, z\}$ .

If  $A = \{\text{fort, south}\}$   $B = \{\text{point, boston}\}$

$$A \cup B = \{\text{fort, south, point, boston}\}$$



# Is Union Closed For Regular Langs?

In this course, we are interested in closed operations for a set of languages (here the set of regular languages)

(In general, a **set** is **closed** under an operation if applying the operation to members of the set produces a result in the same set)

The **class of regular languages** is **closed** under the union operation.

Want to prove this statement

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

Or this (same) statement

# Is Union Closed For Regular Langs?

## THEOREM

(In general, a set is **closed** under an operation if applying the **operation** to **members of the set** produces a **result in the same set**)

The class of regular languages is **closed** under the **union operation**.

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

Or this (same) statement

A member of the set of regular languages is ...

... a regular language, which itself is a set (of strings) ...

... so the operations we're interested in are **set operations**

Want to prove this statement

# Is Union Closed For Regular Langs?

## THEOREM

The class of regular languages is closed under the union operation.

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

Want to prove this statement

Or this (same) statement

# *Flashback:* Mathematical Statements: IF-THEN

## Using:

- If we know:  $P \rightarrow Q$  is TRUE,  
what do we know about  $P$  and  $Q$  individually?
  - Either  $P$  is FALSE (not too useful, can't prove anything about  $Q$ ), or
  - If  $P$  is TRUE, then  $Q$  is TRUE (**modus ponens**)

## Proving:

$p$	$q$	$p \rightarrow q$
True	True	True
True	False	False
False	True	True
False	False	True



# Flashback: Mathematical Statements: IF-THEN

## THEOREM

- The class of regular languages is closed under the union operation.

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .  $t Q), or$   
• If  $P$  is TRUE, then  $Q$  is TRUE (modus ponens)

Would have to prove there are no  
regular languages (impossible)

## Proving:

- To prove:  $P \rightarrow Q$  is TRUE:
  - Prove  $P$  is FALSE (usually hard or impossible)
  - Assume  $P$  is TRUE, then prove  $Q$  is TRUE

$p$	$q$	$p \rightarrow q$
True	True	True
True	False	False
False	True	True
False	False	True



# Is Union Closed For Regular Langs?

Definition of Regular Language

## Statements

Do we know anything about  $A_1$  and  $A_2$ ?

If a lang has a DFA, then it's **regular**

1.  $A_1$  and  $A_2$  are regular languages
2. A DFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1$
3. A DFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2$
4. Construct DFA  $M = (Q, \Sigma, \delta, q_0, F)$  (todo)  
???
5.  $M$  recognizes  $A_1 \cup A_2$   
How to create this  $M$ ? Don't know what  $A_1$  and  $A_2$  are!
6.  $A_1 \cup A_2$  is a regular language  
If a lang is **regular**, then it has a **DFA** ???
7. The class of regular languages is closed under the union operation.
7. From stmt #1 and #6

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

To prove  $P \rightarrow Q$  is TRUE: Assume  $P$  is TRUE, then prove  $Q$  is TRUE

???

???

# Wait! “If A Then B” == “If B Then A” ??

(Actual) Definition of Regular Language

If a lang has a **DFA**, then it's **regular**

- |   |                            |
|---|----------------------------|
| 1. $A_1$ and $A_2$ are regular languages                            | 1. Assumption              |
| 2. A DFA $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ recognizes $A_1$ | 2. Def of Regular Language |
| 3. A DFA $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ recognizes $A_2$ | 3. Def of Regular Language |

???

Definition of Regular Language ???

If a lang is **regular**, then it has a **DFA** ???

# Equivalence of Conditional Statements

- Yes or No? “If  $X$  then  $Y$ ” is equivalent to:
  - “If  $Y$  then  $X$ ” (**converse**)
    - No!

# If Regular, Then DFA?

If a **DFA** recognizes a language  $L$ ,  
then  $L$  is a **regular language**

- Prove: If  $L$  is a **regular language**, then a **DFA** recognizes  $L$

- Proof (Sketch)

Case analysis:

- Look at all if-then statements of the form:
  - “If ... language  $L$ , then  $L$  is a **regular language**”
  - (At least one is true!)
  - Figure out which one(s) led to conclusion:
    - “ $L$  is a **regular language**”
  - (There’s only 1!)

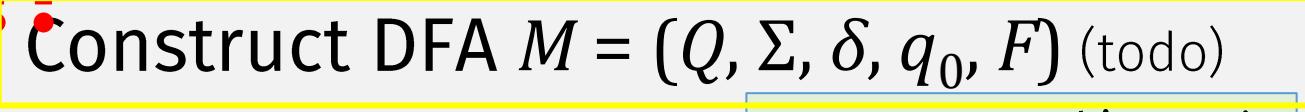
- So it must be that: (because there was only 1 possible way to show that the language is regular)

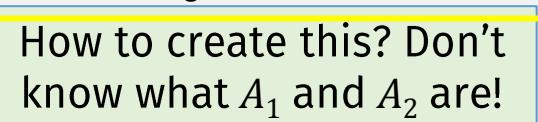
“Corollary”

If  $L$  is a **regular language**, then a **DFA** recognizes  $L$

# Is Union Closed For Regular Langs?

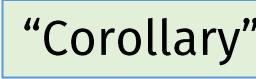
## Statements

1.  $A_1$  and  $A_2$  are regular languages
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3. A DFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2$
4.  Construct DFA  $M = (Q, \Sigma, \delta, q_0, F)$  (todo)  
  

5.  $M$  recognizes  $A_1 \cup A_2$   


How to create this? Don't know what  $A_1$  and  $A_2$  are!
6.  $A_1 \cup A_2$  is a regular language
7. The class of regular languages is closed under the union operation.

## Justifications

1. Assumption  

2. Def of Regular Language
3. Def of Regular Language
4. Def of DFA
5. See examples
6. Def of Regular Language
7. From stmt #1 and #6

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

# Is Union Closed For Regular Langs?

## Statements

1.  $A_1$  and  $A_2$  are regular languages
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3. A DFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2$
4. Construct DFA  $M = (Q, \Sigma, \delta, q_0, F)$  (todo)  


How to create this? Don't know what  $A_1$  and  $A_2$  are!
5.  $M$  recognizes  $A_1 \cup A_2$
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1. Assumption
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In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

"Corollary"

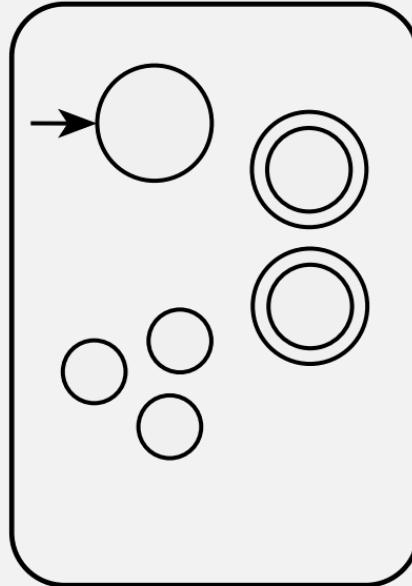
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**DEFINITION**

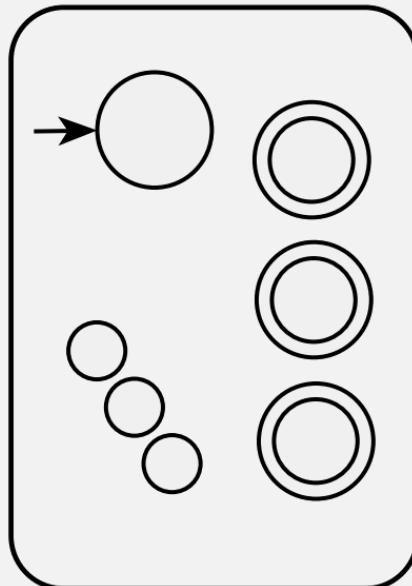
A **finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set called the **states**,
2.  $\Sigma$  is a finite set called the **alphabet**,
3.  $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**,
4.  $q_0 \in Q$  is the **start state**, and
5.  $F \subseteq Q$  is the **set of accept states**.

$M_1$   
recognizes  $A_1$



$M_2$   
recognizes  $A_2$



Regular language  $A_1$   
Regular language  $A_2$

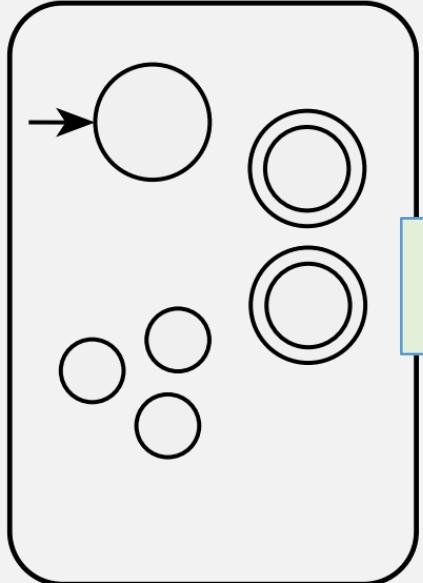
Even if we don't know what these languages are, we still know...

$M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,  
 $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,

If  $L$  is a **regular language**, then a **DFA** recognizes  $L$

$M_1$

recognizes  $A_1$



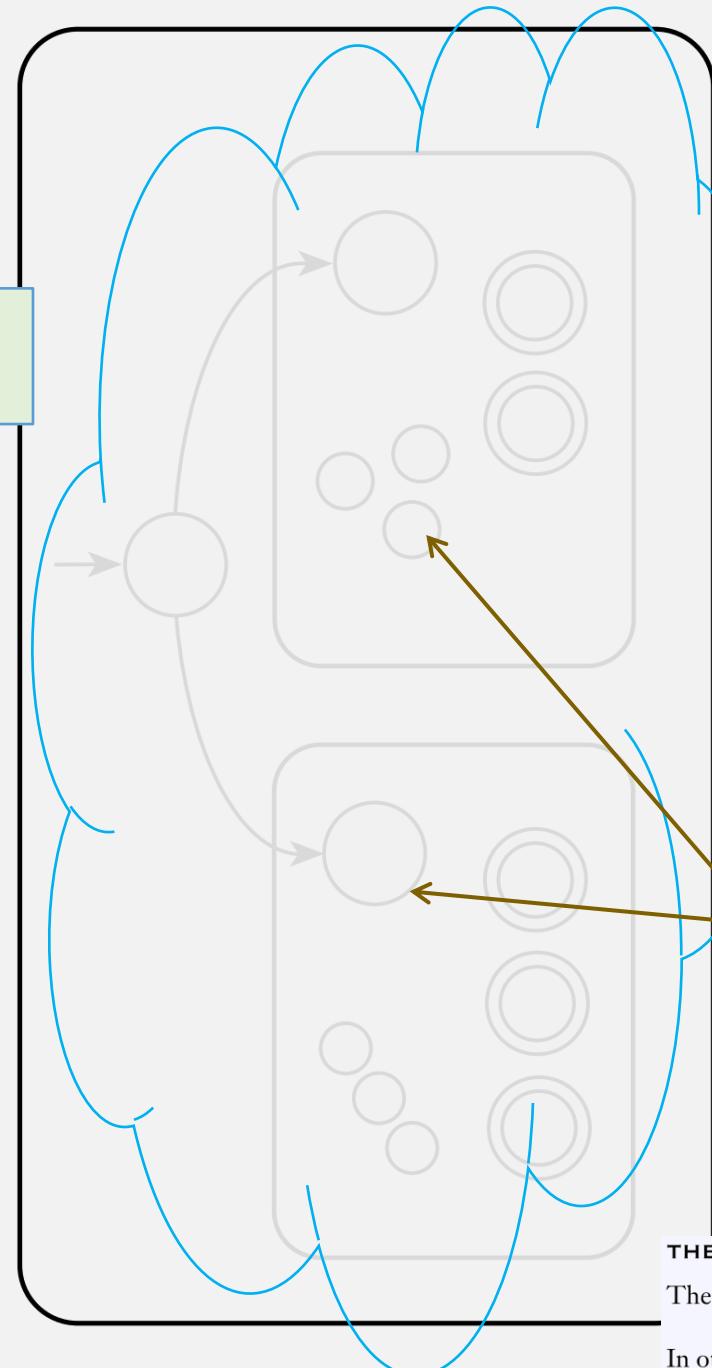
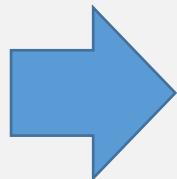
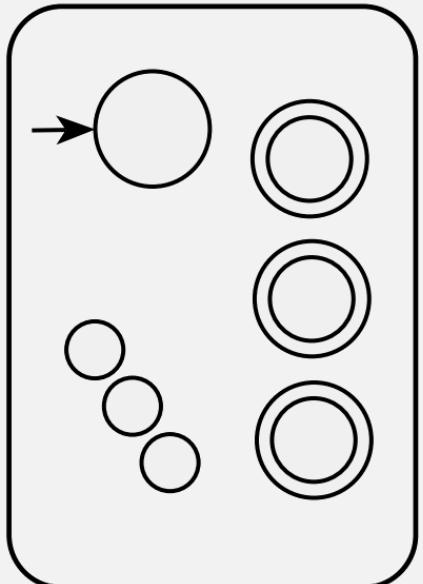
Want:  $M$

Recognizes  
 $A_1 \cup A_2$

(to prove  $A_1 \cup A_2$  is regular)

$M_2$

recognizes  $A_2$



Union

Rough sketch Idea:  
 $M$  is a combination of  $M_1$  and  $M_2$  that: checks whether its input is accepted by either  $M_1$  or  $M_2$

But, a DFA can only read its input once!

Need to somehow simulate “being in” both an  $M_1$  and  $M_2$  state simultaneously

THEOREM

The class of regular languages is closed under the union operation.

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

# Union is Closed For Regular Languages

Proof (continuation)

- Given:  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,  
 $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,
- Want:  $M$  that can simultaneously  
“be in” both an  $M_1$  and  $M_2$  state
- Construct:  $M = (Q, \Sigma, \delta, q_0, F)$ , using  $M_1$  and  $M_2$ , that recognizes  $A_1 \cup A_2$
- states of  $M$ :  
$$Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\} = Q_1 \times Q_2$$

This set is the **Cartesian product** of sets  $Q_1$  and  $Q_2$

A **finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set called the **states**,
2.  $\Sigma$  is a finite set called the **alphabet**,
3.  $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**,<sup>1</sup>
4.  $q_0 \in Q$  is the **start state**, and
5.  $F \subseteq Q$  is the **set of accept states**.

A state of  $M$  is a **pair**:  
- **first** part: state of  $M_1$   
- **second** part: state of  $M_2$

states of  $M$ : **all possible pair combinations** of states of  $M_1$  and  $M_2$

# Union is Closed For Regular Languages

Proof (continuation)

- Given:  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,
- $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,
- Construct:  $M = (Q, \Sigma, \delta, q_0, F)$ , using  $M_1$  and  $M_2$ , that recognizes  $A_1 \cup A_2$
- states of  $M$ : 
$$Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\} = Q_1 \times Q_2$$
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A **finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

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- $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**,
- $q_0 \in Q$  is the **start state**, and
- $F \subseteq Q$  is the **set of accept states**.

A step in  $M$  is **both**:

- a step in  $M_1$ , and
- a step in  $M_2$

# Union is Closed For Regular Languages

Proof (continuation)

- Given:  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,
- Given:  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,
- Construct:  $M = (Q, \Sigma, \delta, q_0, F)$ , using  $M_1$  and  $M_2$ , that recognizes  $A_1 \cup A_2$
- states of  $M$ : 
$$Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\} = Q_1 \times Q_2$$
This set is the **Cartesian product** of sets  $Q_1$  and  $Q_2$
- $M$  transition fn:  $\delta((r_1, r_2), a) = (\delta_1(r_1, a), \delta_2(r_2, a))$
- $M$  start state:  $(q_1, q_2)$

Start state of  $M$  is both  
start states of  $M_1$  and  $M_2$

# Union is Closed For Regular Languages

Proof (continuation)

- Given:  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,
- $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,
- Construct:  $M = (Q, \Sigma, \delta, q_0, F)$ , using  $M_1$  and  $M_2$ , that recognizes  $A_1 \cup A_2$
- states of  $M$ :  $Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\} = Q_1 \times Q_2$   
This set is the **Cartesian product** of sets  $Q_1$  and  $Q_2$
- $M$  transition fn:  $\delta((r_1, r_2), a) = (\delta_1(r_1, a), \delta_2(r_2, a))$
- $M$  start state:  $(q_1, q_2)$   

Accept if either  $M_1$  or  $M_2$  accept

Remember:  
Accept states must  
be subset of  $Q$
- $M$  accept states:  $F = \{(r_1, r_2) \mid r_1 \in F_1 \text{ or } r_2 \in F_2\}$

Q.E.D.? ■

Previously

# Is Union Closed For Regular Langs?

## Statements

1.  $A_1$  and  $A_2$  are regular languages
2. A DFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1$
3. A DFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2$
4. Construct DFA  $M = (Q, \Sigma, \delta, q_0, F)$  
5.  $M$  recognizes  $A_1 \cup A_2$  How to create this? Don't know what  $A_1$  and  $A_2$  are!
6.  $A_1 \cup A_2$  is a regular language
7. The class of regular languages is closed under the union operation.

## Justifications

1. Assumption
2. Def of Regular Language
3. Def of Regular Language
4. Def of DFA
5. See examples (TODO!)
6. Def of Regular Language
7. From stmt #1 and #6

In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

# “Prove” that DFA recognizes a language

Let  $s_1 \in A_1$  and  $s_2 \in A_2$

Let  $s_3 \notin A_1$  and  $s_4 \notin A_2$

Be careful when choosing examples!

In this class, a table like this is sufficient to “prove” that a DFA recognizes a language

String	In lang $A_1 \cup A_2$ ?	Accepted by $M$ ?
$s_1$	Yes	
$s_2$	Yes	
$s_3$	???	
$s_4$	???	

Don't know  $A_1$  and  $A_2$  exactly ...

... but we know ...

... they are sets of strings!

$M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,

constructed  $M = (Q, \Sigma, \delta, q_0, F)$  recognizes  $A_1 \cup A_2$ ?

# “Prove” that DFA recognizes a language

Let  $s_1 \in A_1$  and  $s_2 \in A_2$

~~Let  $s_3 \notin A_1$  and  $s_4 \notin A_2$~~

Let  $s_5 \notin A_1$  and  $\notin A_2$

String	In lang $A_1 \cup A_2$ ?	Accepted by $M$ ?
$s_1$	Yes	
$s_2$	Yes	
$s_3$	???	
$s_4$	???	
$s_5$	No	

$M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,

constructed  $M = (Q, \Sigma, \delta, q_0, F)$  recognizes  $A_1 \cup A_2$ ?

# Union is Closed For Regular Languages

Proof (continuation)

- Given:  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,
- Given:  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,
- Construct:  $M = (Q, \Sigma, \delta, q_0, F)$ , using  $M_1$  and  $M_2$ , that recognizes  $A_1 \cup A_2$
- states of  $M$ :  $Q = \{(r_1, r_2) \mid r_1 \in Q_1 \text{ and } r_2 \in Q_2\} = Q_1 \times Q_2$   
This set is the **Cartesian product** of sets  $Q_1$  and  $Q_2$
- $M$  transition fn:  $\delta((r_1, r_2), a) = (\delta_1(r_1, a), \delta_2(r_2, a))$
- $M$  start state:  $(q_1, q_2)$ 

Accept if either  $M_1$  or  $M_2$  accept
- $M$  accept states:  $F = \{(r_1, r_2) \mid r_1 \in F_1 \boxed{\text{or}} r_2 \in F_2\}$

# “Prove” that DFA recognizes a language

Let  $s_1 \in A_1$  and  $s_2 \in A_2$

(this column needed  
when machine is not  
concrete, i.e., can't check  
if string is accepted)

Let  $s_5 \notin A_1$  and  $\notin A_2$

String	In lang $A_1 \cup A_2$ ?	Accepted by $M$ ?	Justification
$s_1$	Yes	Accept ??	(J1)
$s_2$	Yes	Accept	(J1)
$s_3$	???	???	
$s_4$	???	???	
$s_5$	No	Reject ??	(J1)

$M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ , recognize  $A_1$ ,

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ , recognize  $A_2$ ,

constructed  $M = (Q, \Sigma, \delta, q_0, F)$  to

Accept if either  $M_1$  or  $M_2$  accept

(J1)

Else reject

# Is Union Closed For Regular Langs?

## Statements

1.  $A_1$  and  $A_2$  are regular languages

2. A DFA  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognizes  $A_1$

3. A DFA  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  recognizes  $A_2$

4. Construct DFA  $M = (Q, \Sigma, \delta, q_0, F)$

5.  $M$  recognizes  $A_1 \cup A_2$

6.  $A_1 \cup A_2$  is a regular language

7. The class of regular languages is closed under the union operation.

## Justifications

1. Assumption

2. Def of Regular Language

3. Def of Regular Language

4. Def of DFA

5. See Examples Table

6. Def of Regular Language

7. From stmt #1 and #6

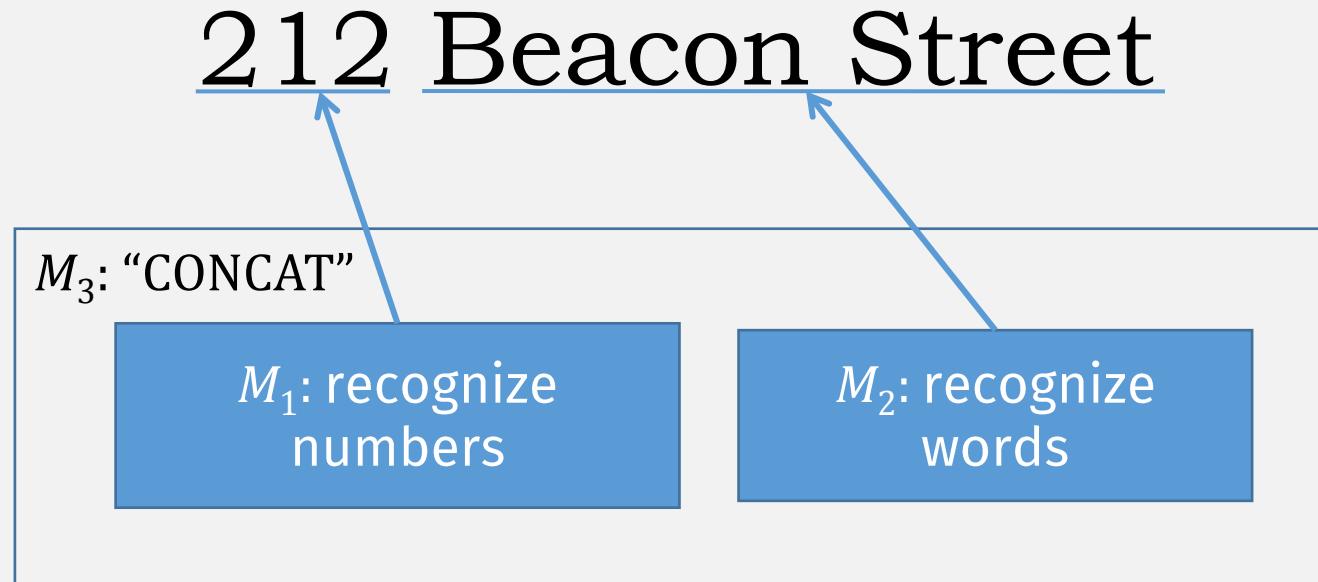
In other words, if  $A_1$  and  $A_2$  are regular languages, so is  $A_1 \cup A_2$ .

Q.E.D.



# Another operation: Concatenation

Example: Recognizing street addresses



**Concatenation:**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

# Concatenation of Languages

Let the alphabet  $\Sigma$  be the standard 26 letters  $\{a, b, \dots, z\}$ .

If  $A = \{\text{fort, south}\}$   $B = \{\text{point, boston}\}$

$$A \circ B = \{\text{fortpoint, fortboston, southpoint, southboston}\}$$

# Is Concatenation Closed?

## THEOREM

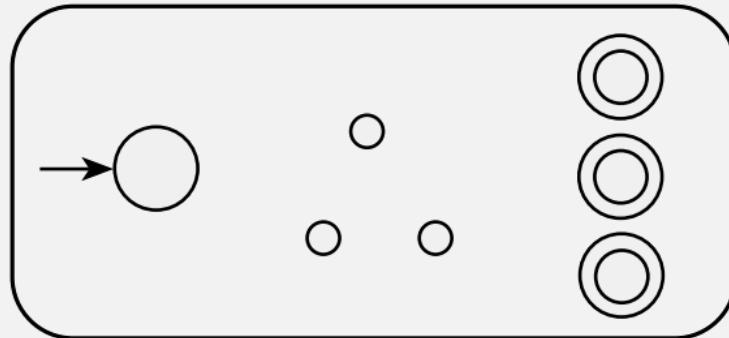
The class of regular languages is closed under the concatenation operation.

In other words, if  $A_1$  and  $A_2$  are regular languages then so is  $A_1 \circ A_2$ .

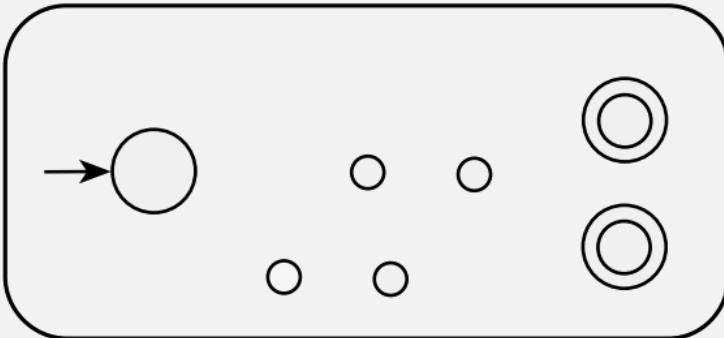
- Construct a new machine  $M$  recognizing  $A_1 \circ A_2$ ? (like union)
  - Using DFA  $M_1$  (which recognizes  $A_1$ ),
  - and DFA  $M_2$  (which recognizes  $A_2$ )

## Concatenation

$M_1$



$M_2$



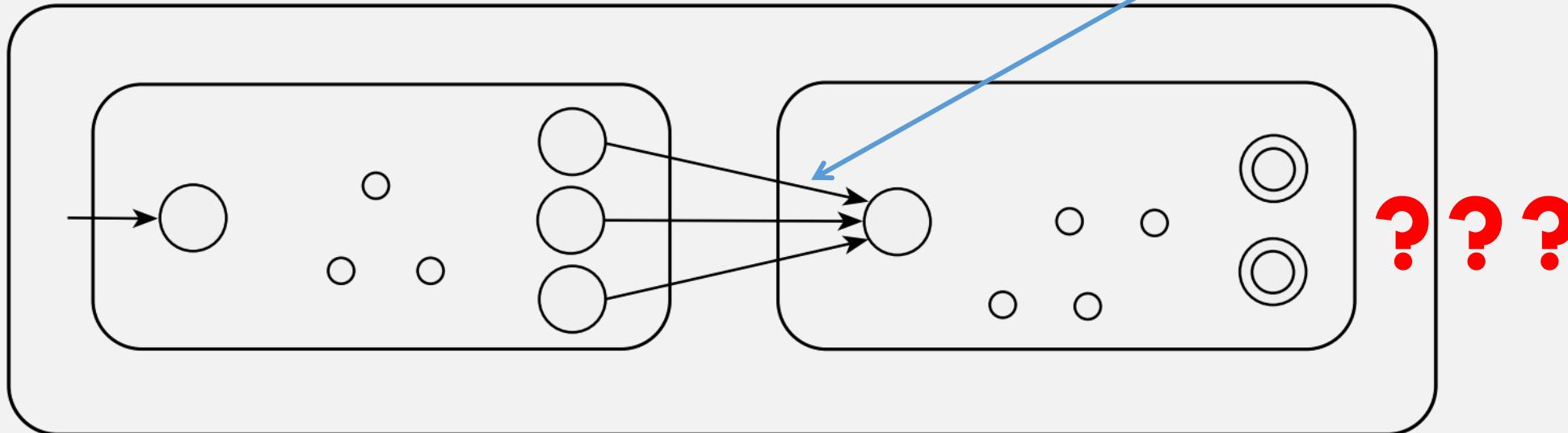
### PROBLEM:

Can only  
read input  
once, can't  
backtrack

Let  $M_1$  recognize  $A_1$ , and  $M_2$  recognize  $A_2$ .

Want: Construction of  $M$  to recognize  $A_1 \circ A_2$

Need to switch  
machines at some  
point, but when?



**Concatenation:**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

# Overlapping Concatenation Example

- Let  $M_1$  recognize language  $A = \{ \text{jen}, \text{jens} \}$
- and  $M_2$  recognize language  $B = \{ \text{smith} \}$
- Want: Construct  $M$  to recognize  $A \circ B = \{ \boxed{\text{jen}}\text{smith}, \boxed{\text{jens}}\text{smith} \}$
- If  $M$  sees **jen** ...
- $M$  must decide to either:

**Concatenation:**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

# Overlapping Concatenation Example

- Let  $M_1$  recognize language  $A = \{ \text{jen}, \text{jens} \}$
- and  $M_2$  recognize language  $B = \{ \text{smith} \}$
- Want: Construct  $M$  to recognize  $A \circ B = \{ \text{jensmith}, \text{jenssmith} \}$
- If  $M$  sees **jen** ...
- $M$  must decide to either:
  - stay in  $M_1$  (correct, if full input is **jensmith**)

**Concatenation:**  $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

# Overlapping Concatenation Example

- Let  $M_1$  recognize language  $A = \{ \text{jen}, \text{jens} \}$
- and  $M_2$  recognize language  $B = \{ \text{smith} \}$
- Want: Construct  $M$  to recognize  $A \circ B = \{ \text{jensmith}, \text{jens}\text{smith} \}$
- If  $M$  sees **jen** ...
- $M$  must decide to either:
  - stay in  $M_1$  (correct, if full input is **jenssmith**)
  - or switch to  $M_2$  (correct, if full input is **jen**smith****)
- But to recognize  $A \circ B$ , it needs to handle both cases!!
  - Without backtracking

A DFA can't do this!

# Is Concatenation Closed?

FALSE?

## THEOREM

The class of regular languages is closed under the concatenation operation.

In other words, if  $A_1$  and  $A_2$  are regular languages then so is  $A_1 \circ A_2$ .

- Cannot combine  $A_1$  and  $A_2$ 's machine because:
  - Need to switch from  $A_1$  to  $A_2$  at some point ...
  - ... but we don't know when! (we can only read input once)
- This requires a new kind of machine!
- But does this mean concatenation is not closed for regular langs?