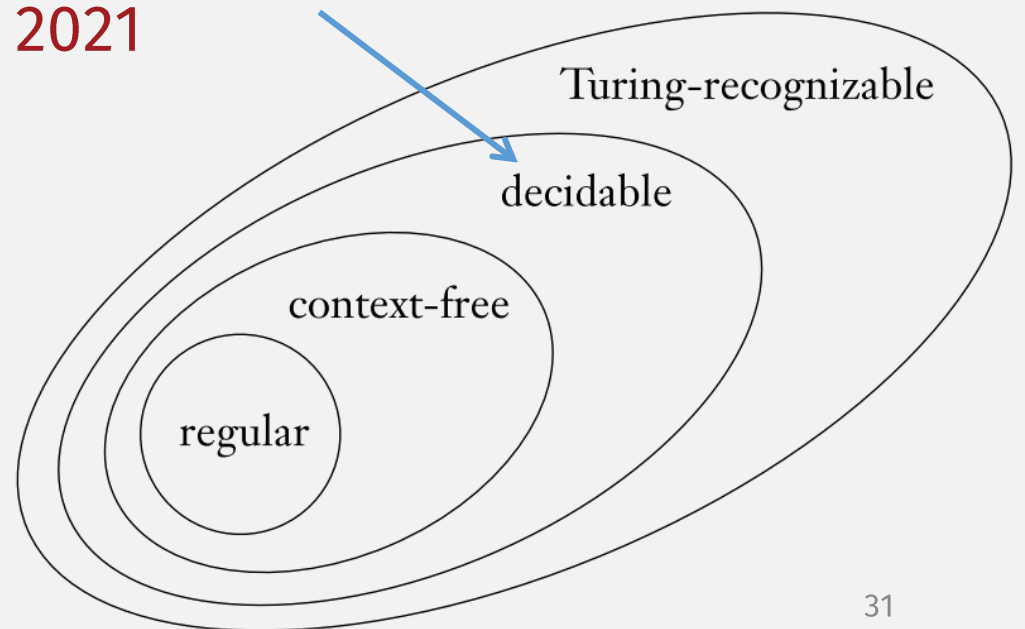


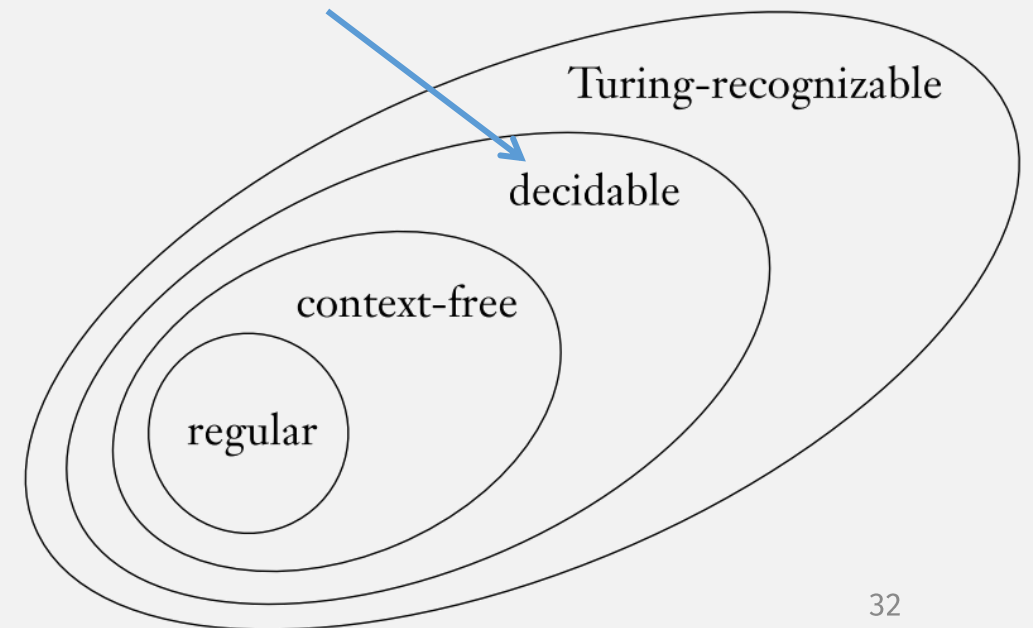
# Decidable Problems (i.e., Algorithms) about Context-Free Languages (CFLs)

Monday March 29, 2021



# Announcements

- HW 6 due date past
- HW 7 due Sun 4/4 11:59pm EST
  - Remember to use your “library” of theorems
- HW 8 out soon
  - due Sun 4/11 11:59pm EST
  - Covers Ch 4-5 material (starting Wed)



# Last time: Decidable DFA Langs (i.e., algorithms)

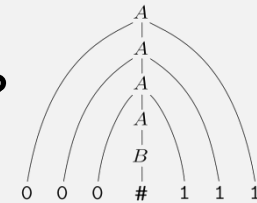
- $A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$
- $A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$
- $A_{\text{REX}} = \{\langle R, w \rangle \mid R \text{ is a regular expression that generates string } w\}$
- $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- $EQ_{\text{DFA}} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$

Remember:  
TMs = programs  
Creating TM = programming  
Previous theorems = library

# Thm: $A_{CFG}$ is a decidable language

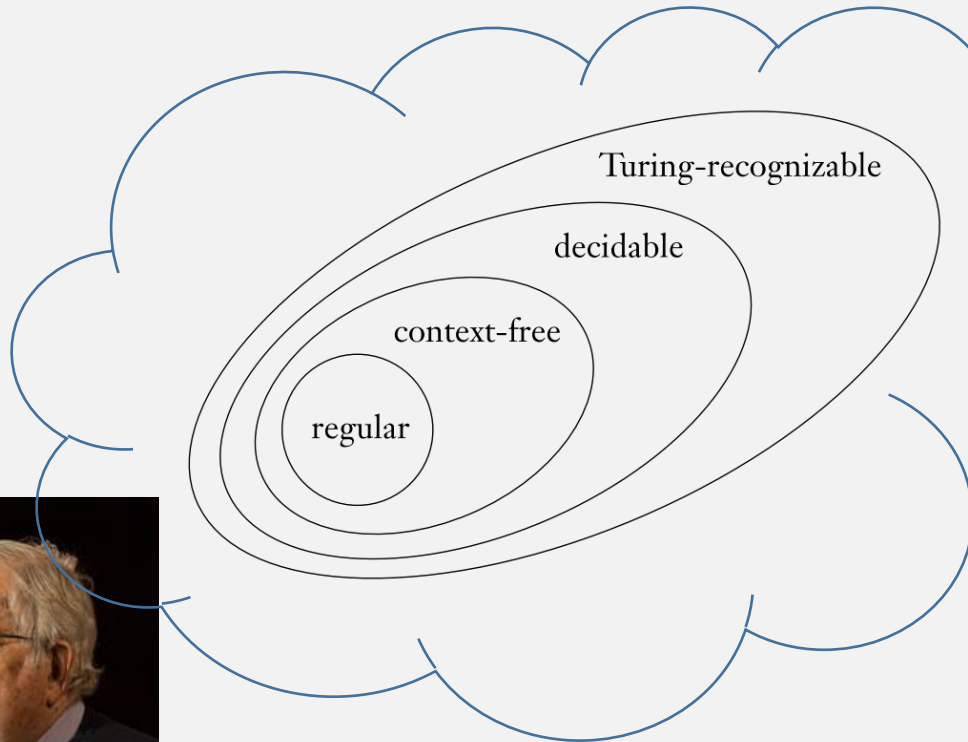
$$A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG that generates string } w \}$$

- This a is very practically important problem ...
- ... equivalent to:
  - Is there an **algorithm to parse a programming language** with grammar  $G$ ?
- A Decider for this problem could ... ?
  - Try every possible derivation of  $G$ , and check if it's equal to  $w$ ?
  - But this might never halt
    - e.g., if there is a rule like:  $S \rightarrow 0S$  or  $S \rightarrow S$
  - This TM would be a recognizer but not a decider
- Idea: can the TM stop checking after some length?
  - i.e., Is there upper bound on the number of derivation steps?

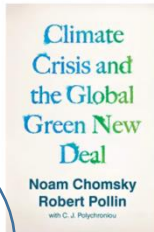
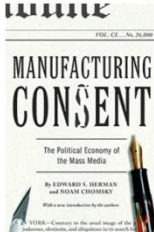
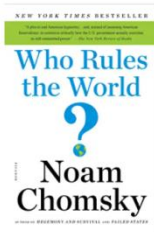

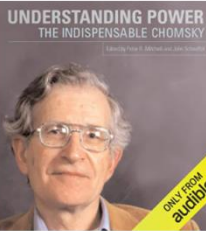
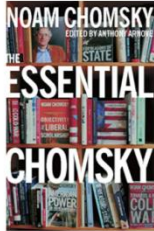
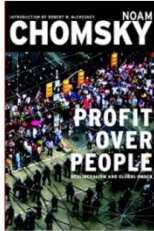



# Chomsky Normal Form

# Noam Chomsky



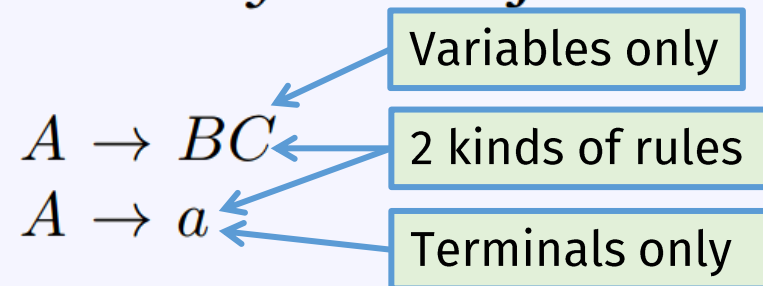
Later ...

 <p><b>Climate Crisis and the Global Green New Deal: The Political Economy of Saving the Planet</b> by Noam Chomsky, Robert Pollin, et al.</p> <p>★★★★☆ ~ 25</p> <p><b>Paperback</b> \$15.81 \$18.95</p> <p>✓prime FREE One-Day Get it Tomorrow, Oct 29</p> <p>More Buying Choices \$13.19 (56 used &amp; new offers)</p> <p>Other formats: Audible Audiobook, Kindle</p>	 <p><b>MANUFACTURING CONSENT</b> The Political Economy of the Mass Media by Edward S. Herman and Noam Chomsky</p> <p>★★★★☆ ~ 795</p> <p><b>Paperback</b> \$15.75 \$21.00</p> <p>✓prime FREE One-Day Get it Tomorrow, Oct 29</p> <p>More Buying Choices \$9.39 (64 used &amp; new offers)</p> <p>Other formats: Audible Audiobook, Kindle, Hardcover, Audio CD</p>	 <p><b>Who Rules the World?</b> (American Empire Project) Part of: American Empire Project (29 Books)</p> <p>★★★★★ ~ 415</p> <p><b>Paperback</b> \$15.79 \$19.00</p> <p>✓prime FREE One-Day Get it Tomorrow, Oct 29</p> <p>More Buying Choices \$8.33 (50 used &amp; new offers)</p> <p>Other formats: Audible Audiobook, Kindle, Hardcover, Audio CD</p>	 <p><b>ON ANARCHISM</b> by Noam Chomsky and Nathan Schneider</p> <p>★★★★★ ~ 250</p> <p><b>Paperback</b> \$14.45 \$15.95</p> <p>✓prime FREE Delivery Fri, Oct 30</p> <p>More Buying Choices \$10.00 (\$7 used &amp; new offers)</p> <p>Other formats: Audible Audiobook, Kindle, Audio CD</p>
 <p><b>UNDERSTANDING POWER: THE INDISPENSABLE CHOMSKY</b> by Noam Chomsky, Peter R. Mitchell (editor), et al.</p> <p>ONLY FROM audible</p>	 <p><b>THE ESSENTIAL CHOMSKY</b> EDITED BY ANTHONY ARNOVE by Noam Chomsky and Anthony Arnove</p> <p>★★★★★ ~ 132</p>	 <p><b>CHOMSKY</b> <b>PROFIT OVER PEOPLE</b> Neoliberalism &amp; Global Order by Noam Chomsky and Robert W. McChesney</p>	 <p><b>ON LANGUAGE</b> Classic Works: Language and Responsibility and Reflections on Language</p> <p>Best Seller</p>

# Chomsky Normal Form

## DEFINITION 2.8

A context-free grammar is in *Chomsky normal form* if every rule is of the form



where  $a$  is any terminal and  $A$ ,  $B$ , and  $C$  are any variables—except that  $B$  and  $C$  may not be the start variable. In addition, we permit the rule  $S \rightarrow \epsilon$ , where  $S$  is the start variable.

# Chomsky Normal Form: Number of Steps

- To generate a string of length  $n$ :
  - $n - 1$  steps: to generate  $n$  variables
  - $+ n$  steps: to turn each variable into a terminal
  - Total:  $2n - 1$  steps

*Chomsky normal form*

$A \rightarrow BC$

$A \rightarrow a$



# Thm: Every CFG has a Chomsky Normal Form

*Chomsky normal form*

1. Add new start variable  $S_0$  that does not appear on any RHS
  - I.e., add rule  $S_0 \rightarrow S$ , where  $S$  is old start var

$A \rightarrow BC$

$A \rightarrow a$

$$\begin{aligned} S &\rightarrow ASA \mid aB \\ A &\rightarrow B \mid S \\ B &\rightarrow b \mid \epsilon \end{aligned}$$



$$S_0 \rightarrow S$$

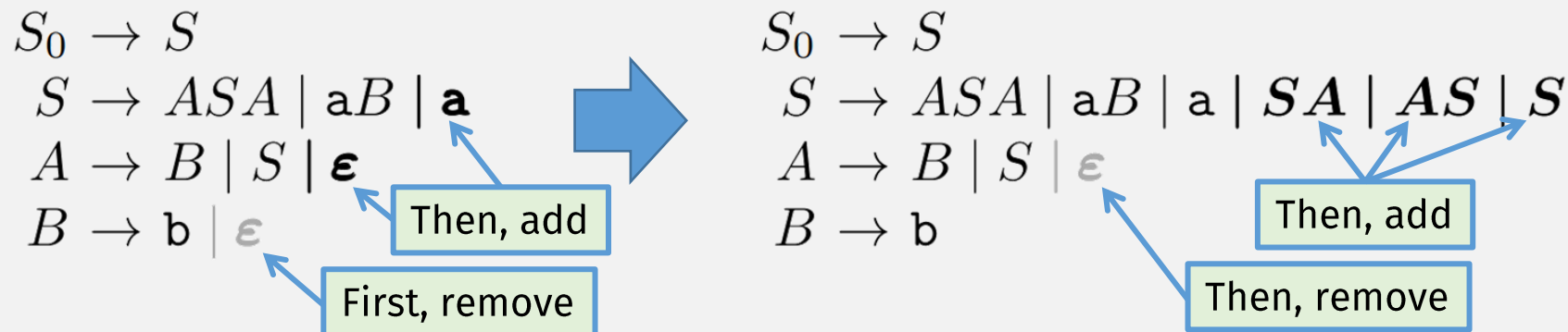
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1. Add new start variable  $S_0$  that does not appear on any RHS
  - I.e., add rule  $S_0 \rightarrow S$ , where  $S$  is old start var
2. Remove all “empty” rules of the form  $A \rightarrow \epsilon$ 
  - $A$  must not be the start variable
  - Then for every rule with  $A$  on RHS, add new rule with  $A$  deleted
    - E.g., if  $R \rightarrow uAv$  is a rule, add  $R \rightarrow uv$
  - Must cover all combinations if  $A$  appears more than once in a RHS
    - E.g., if  $R \rightarrow uAvAw$  is a rule, add 3 rules:  $R \rightarrow uvAw$ ,  $R \rightarrow uAvw$ ,  $R \rightarrow uvw$

$A \rightarrow BC$   
 $A \rightarrow a$



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3. Remove all “unit” rules of the form  $A \rightarrow B$ 
  - Then, for every rule  $B \rightarrow u$ , add rule  $A \rightarrow u$

$S_0 \rightarrow S$   
 $S \rightarrow ASA \mid aB \mid a \mid SA \mid AS \mid S$   
 $A \rightarrow B \mid S$   
 $B \rightarrow b$

Remove, no add  
(same variable)

$S_0 \rightarrow S \mid ASA \mid aB \mid a \mid SA \mid AS$   
 $S \rightarrow ASA \mid aB \mid a \mid SA \mid AS$   
 $A \rightarrow B \mid S$   
 $B \rightarrow b$

Remove, then add  $S$  RHSs to  $S_0$

$S_0 \rightarrow ASA \mid aB \mid a \mid SA \mid AS$   
 $S \rightarrow ASA \mid aB \mid a \mid SA \mid AS$   
 $A \rightarrow S \mid b \mid ASA \mid aB \mid a \mid SA \mid AS$   
 $B \rightarrow b$

Remove, then add  $S$  RHSs to  $A$

# Thm: Every CFG has a Chomsky Normal Form

*Chomsky normal form*

1. Add new start variable  $S_0$  that does not appear on any RHS
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3. Remove all “unit” rules of the form  $A \rightarrow B$ 
  - Then, for every rule  $B \rightarrow u$ , add rule  $A \rightarrow u$
4. Split up rules with RHS longer than length 2
  - E.g.,  $A \rightarrow wxyz$  becomes  $A \rightarrow wB$ ,  $B \rightarrow xC$ ,  $C \rightarrow yz$
5. Replace all terminals on RHS with new rule
  - E.g., for above, add  $W \rightarrow w$ ,  $X \rightarrow x$ ,  $Y \rightarrow y$ ,  $Z \rightarrow z$

$A \rightarrow BC$   
 $A \rightarrow a$

$S_0 \rightarrow ASA \mid aB \mid a \mid SA \mid AS$   
 $S \rightarrow ASA \mid aB \mid a \mid SA \mid AS$   
 $A \rightarrow b \mid ASA \mid aB \mid a \mid SA \mid AS$   
 $B \rightarrow b$



$S_0 \rightarrow AA_1 \mid UB \mid a \mid SA \mid AS$   
 $S \rightarrow AA_1 \mid UB \mid a \mid SA \mid AS$   
 $A \rightarrow b \mid AA_1 \mid UB \mid a \mid SA \mid AS$   
 $A_1 \rightarrow SA$   
 $U \rightarrow a$   
 $B \rightarrow b$

Thm:  $A_{\text{CFG}}$  is a decidable language

$$A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$$

Proof: create the decider:

$S =$  “On input  $\langle G, w \rangle$ , where  $G$  is a CFG and  $w$  is a string:

1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
2. List all derivations with  $2n - 1$  steps, where  $n$  is the length of  $w$ ; except if  $n = 0$ , then instead list all derivations with one step.
3. If any of these derivations generate  $w$ , *accept*; if not, *reject*.”

Thm:  $E_{\text{CFG}}$  is a decidable language.

$$E_{\text{CFG}} = \{ \langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset \}$$

Recall:

$$E_{\text{DFA}} = \{ \langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset \}$$

$T =$  “On input  $\langle A \rangle$ , where  $A$  is a DFA:

1. Mark the start state of  $A$ .
2. Repeat until no new states get marked:
3. Mark any state that has a transition coming into it from any state that is already marked.
4. If no accept state is marked, *accept*; otherwise, *reject*.”

“Reachability” (of accept state from start state) algorithm

Thm:  $E_{\text{CFG}}$  is a decidable language.

$$E_{\text{CFG}} = \{ \langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset \}$$

- Create decider that calculates reachability for grammar  $G$ 
  - Except go backwards, start from terminals, to avoid looping

$R =$  “On input  $\langle G \rangle$ , where  $G$  is a CFG:

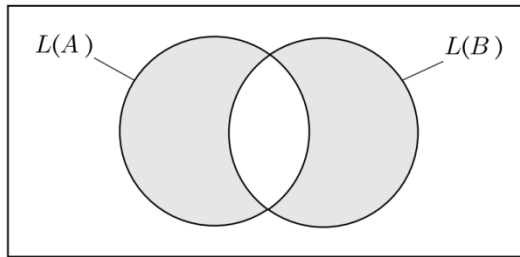
1. Mark all terminal symbols in  $G$ .
2. Repeat until no new variables get marked:
3. Mark any variable  $A$  where  $G$  has a rule  $A \rightarrow U_1 U_2 \cdots U_k$  and each symbol  $U_1, \dots, U_k$  has already been marked.
4. If the start variable is not marked, *accept*; otherwise, *reject*.”

Thm:  $EQ_{CFG}$  is a decidable language?

$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

Recall:  $EQ_{DFA} = \{\langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B)\}$

- Used Symmetric Difference



$$L(C) = \emptyset \text{ iff } L(A) = L(B)$$

- where  $C$  = complement, union, intersection of machines  $A$  and  $B$
- Can't do this for CFLs!
  - Intersection and complement are not closed for CFLs!!!



# Intersection of CFLs is Not Closed!

- If closed, then intersection of these CFLs should be a CFL:

$$A = \{a^m b^n c^n \mid m, n \geq 0\}$$

$$B = \{a^n b^n c^m \mid m, n \geq 0\}$$

- But  $A \cap B = \{a^n b^n c^n \mid n \geq 0\}$

- Not a CFL!
  - See textbook example 2.36

# Complement of a CFL is not Closed!

- If CFLs closed under complement:

if  $G_1$  and  $G_2$  context-free

$\overline{L(G_1)}$  and  $\overline{L(G_2)}$  context-free

$\overline{L(G_1) \cup L(G_2)}$  context-free

$\overline{\overline{L(G_1) \cup L(G_2)}}$  context-free

$L(G_1) \cap L(G_2)$  context-free

DeMorgan's  
Law!

Thm:  $EQ_{CFG}$  is a decidable language?

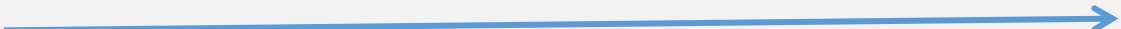
$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

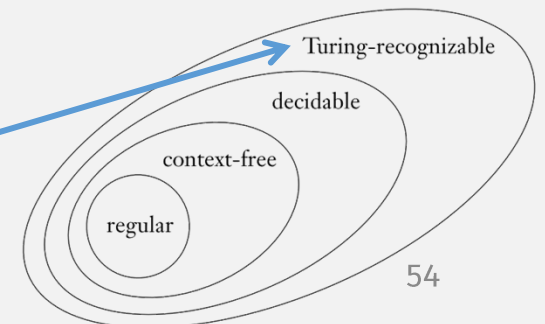
- No!
  - You cannot decide whether two grammars represent the same lang!
- It's not recognizable either!
  - (But we won't learn how to prove this until Chapter 5)

# Decidability of CFGs Recap

- $A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG that generates string } w \}$ 
  - Convert grammar to Chomsky Normal Form
  - Then check all possible derivations of length  $2|w| - 1$  steps
- $E_{CFG} = \{ \langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset \}$ 
  - Compute “reachability” of start variable from terminals
- $EQ_{CFG} = \{ \langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H) \}$ 
  - We couldn't prove that this is decidable!
  - (So you cant use this theorem when creating another decider)

# The Limits of Turing Machines?

- So TMs can express any “computation”
  - I.e., any (Python, Java, Racket, ...) program you write is a Turing Machine
- So why do we focus on TMs that process other machines?
- Because in CS420, we also want to study the limits of computation
  - And a good way to test the limit of a computational model is to see what it can compute about other computational models ...
- So what are the limits of TMs? I.e., what’s here?
  - Or out here? 



# Next time: $A_{\text{TM}}$ is undecidable ???

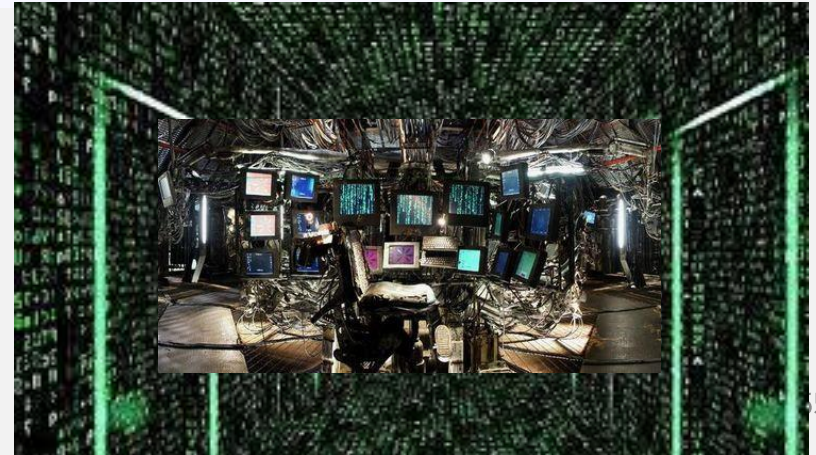
$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

$A_{\text{TM}}$  = the problem of computers simulating other computers, e.g.:

$U =$  “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

1. Simulate  $M$  on input  $w$ .
2. If  $M$  ever enters its accept state, *accept*; if  $M$  ever enters its reject state, *reject*.”

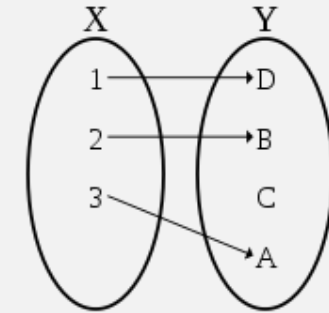
I.e., will machines take over the world?



# Kinds of Functions (a fn maps DOMAIN $\rightarrow$ RANGE)

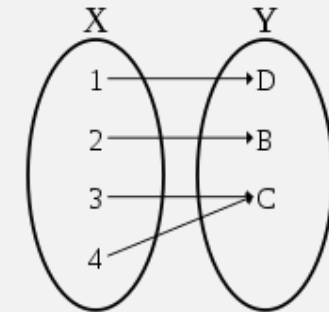
- **Injective**

- A.k.a., “one-to-one”
- Every element in DOMAIN has a unique mapping
- How to remember:
  - DOMAIN is mapped “in” to the RANGE



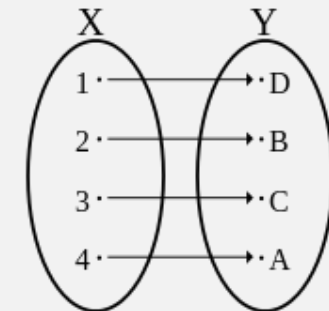
- **Surjective**

- A.k.a., “onto”
- Every element in RANGE is mapped to
- How to remember:
  - “Sur” = “over” (eg, survey); DOMAIN is mapped “over” the RANGE



- **Bijjective**

- A.k.a., “correspondence” or “one-to-one correspondence”
- Is both injective and surjective
- Unique pairing of every element in DOMAIN and RANGE



# Countability

- A set is “countable” if it is:
  - Finite
  - Or, there exists a bijection between the set and the natural numbers
    - This set is then considered to have the same size as the set of natural numbers
    - This is called “countably infinite”



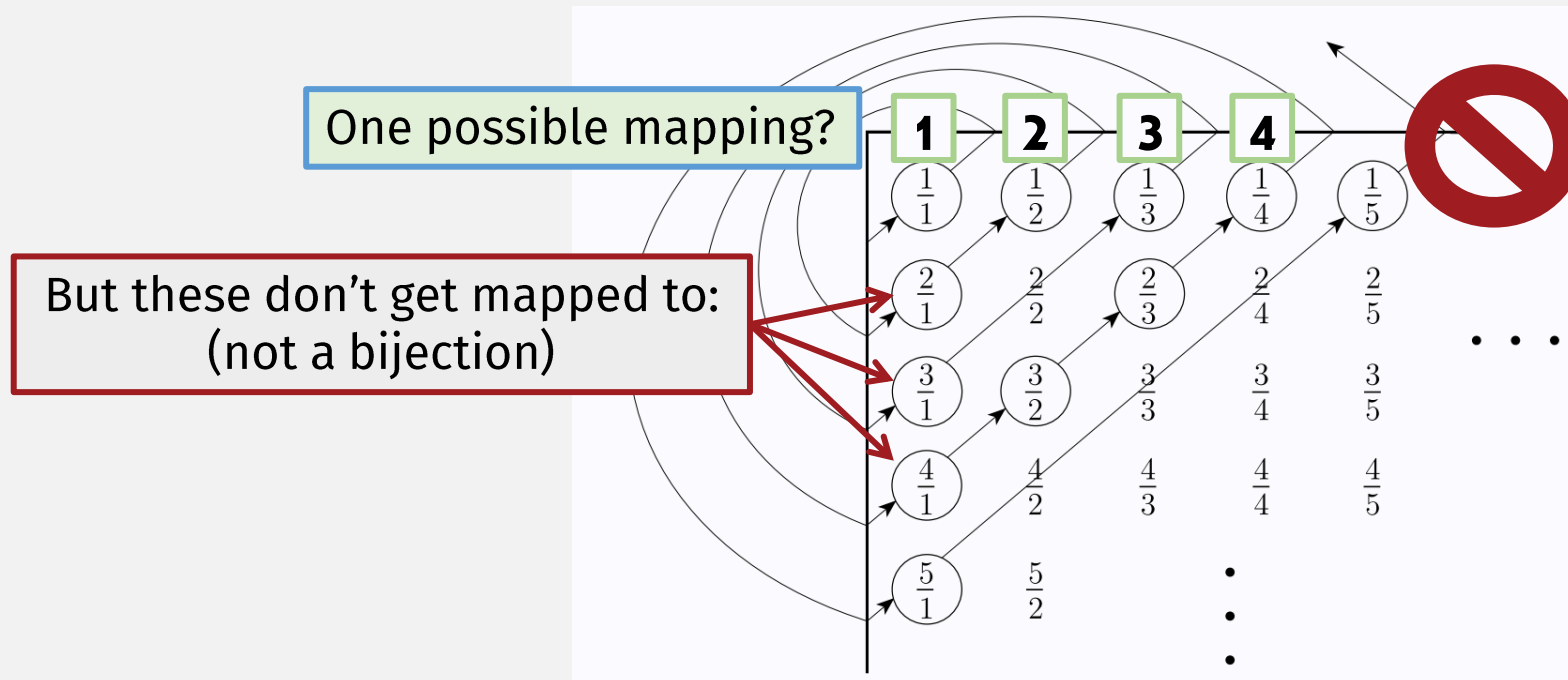
# Exercise: Which set is larger?

- The set of:
  - Natural numbers, or
  - Even numbers?
- They are the **same** size! Both are countably infinite
  - Bijection:

$n$	$f(n) = 2n$
1	2
2	4
3	6
$\vdots$	$\vdots$

# Exercise: Which set is larger?

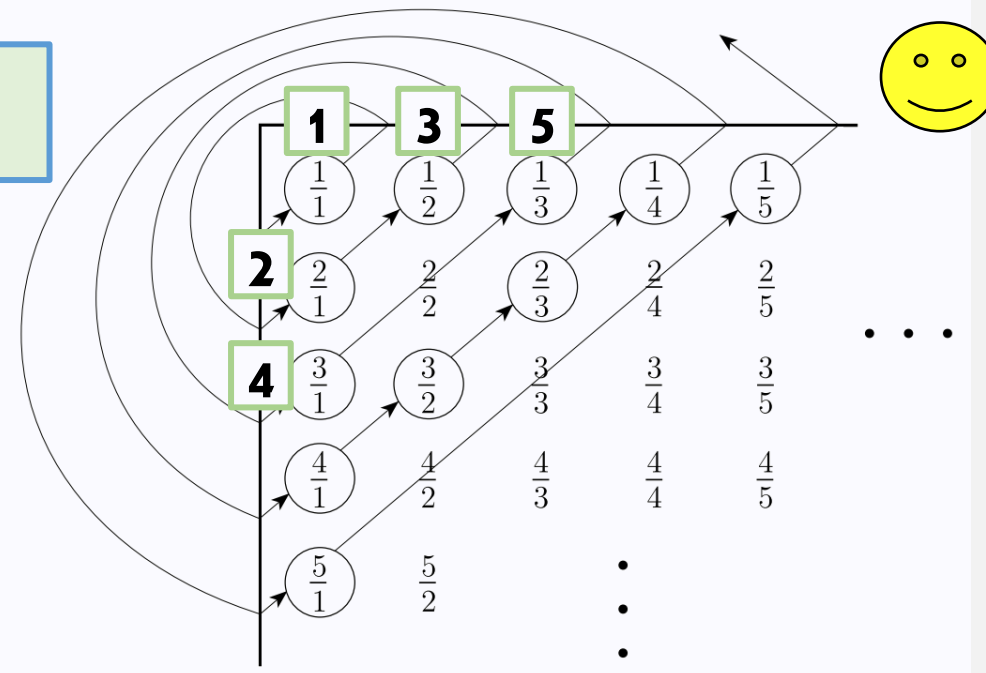
- The set of:
  - Natural numbers  $\mathcal{N}$ , or
  - Positive rational numbers?  $\mathcal{Q} = \left\{ \frac{m}{n} \mid m, n \in \mathcal{N} \right\}$
- They are the **same** size! Both are countably infinite



# Exercise: Which set is larger?

- The set of:
  - Natural numbers  $\mathcal{N}$ , or
  - Positive rational numbers?  $\mathcal{Q} = \left\{ \frac{m}{n} \mid m, n \in \mathcal{N} \right\}$
- They are the **same** size! Both are countably infinite

Another mapping:  
(is a bijection)



# Exercise: Which set is larger?

- The set of:
  - Natural numbers, or  $\mathcal{N}$
  - Real numbers?  $\mathcal{R}$
- There are **more** real numbers. It is uncountably infinite.

Proof: next time!

# **Check-in Quiz 3/29**

On gradescope