UMB CS420 Polynomial Time (P)

Monday, May 6, 2024

```
O(1) = O(yeah)
O(logn) = O(nice)
O(n) = O(k)
O(n<sup>2</sup>) = O(my)
O(2^n) = O(no)
O(n!) = O(mg)
O(n^n) = O(sh*t!)
```

Announcements

- HW 11
 - Due Wed 5/8 12pm noon
- HW 12
 - Release Wed 5/8 12pm noon
 - Due Wed 5/15 12pm noon (no late days, no exceptions)

Quiz Preview

```
Q1 The time complexity class P represents what kind of problems ?

1 Point

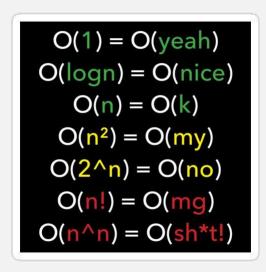
(select all that apply)

realistically solvable problems

tractable problems

problems that have a polynomial time algorithm

languages decided by Turing-machines that run in a worst case polynomial number of steps
```



Last Time: Time Complexity

Running Time or **Time Complexity** is a property of decider TMs (algorithms)

Let M be a deterministic Turing machine that halts on all inputs. The *running time* or *time complexity* of M is the function $f: \mathcal{N} \longrightarrow \mathcal{N}$, where f(n) is the maximum number of steps that M uses on any input of length n. If f(n) is the running time of M, we say that M runs in time f(n) and that M is an f(n) time Turing machine. Customarily we use n to represent the length of the input.

Depends on size of input

Worst case

Last Time: Time Complexity Classes

Big-O = asymptotic upper bound, i.e., "only care about <u>large</u> n"

Let $t: \mathcal{N} \longrightarrow \mathcal{R}^+$ be a function. Define the *time complexity class*, $\mathbf{TIME}(t(n))$, to be the collection of all languages that are decidable by an O(t(n)) time Turing machine.

Remember:

- TMs: have a time complexity (i.e., a running time),
- languages: are in a time complexity class

The **time complexity class** of a <u>language</u> is determined by the **time complexity** (running time) of its deciding <u>TM</u>

A <u>language</u> can have <u>multiple</u> deciding TMs, so could be in <u>multiple</u> time complexity classes

The Polynomial Time Complexity Class (P)

P is the class of languages that are decidable in polynomial time on deterministic single-tape Turing machine In other words,

$$P = \bigcup_{k} TIME(n^k).$$

- Corresponds to "realistically" solvable problems:
 - Problems in P
 - = "solvable" or "tractable"
 - Problems outside P
 - = "unsolvable" or "intractable"

"Unsolvable" Problems



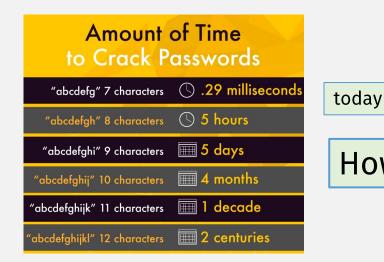
Mathematicians are weird.

- Unsolvable problems (those outside P):
 - usually only have "brute force" solutions
 - i.e., "try all possible inputs"
 - "unsolvable" applies only to large n

Brute-force attack

From Wikipedia, the free encyclopedi

In cryptography, a **brute-force attack** consists of an attacker submitting many passwords or passphrases with the hope of eventually guessing a combination correctly. The attacker systematically checks all possible passwords and passphrases until the correct one is found. Alternatively, the attacker can attempt to guess the key which is typically created from the password using a key derivation function. This is known as an **exhaustive key search**.



In this class, we're interested in questions like:

>How to prove something is "solvable" (in P)?

How to prove something is "unsolvable" (not in P)?

3 Problems in **P**

• A <u>Graph</u> Problem:

 $PATH = \{\langle G, s, t \rangle | G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$

• A <u>Number</u> Problem:

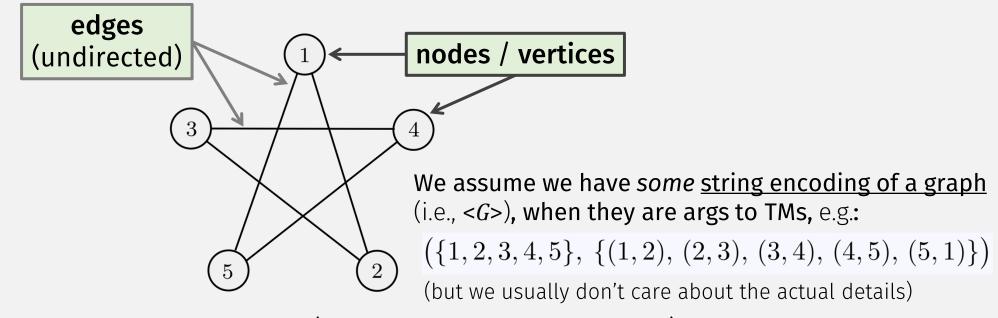
 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

• A <u>CFL</u> Problem:

Every context-free language is a member of P

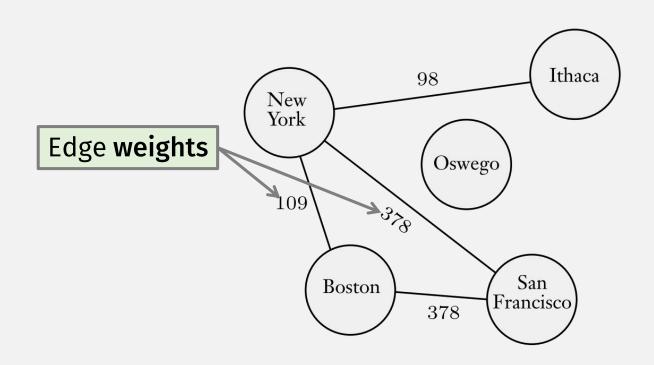
- To prove that a language is "solvable", i.e., in P ...
 - ... construct a polynomial time algorithm deciding the language
- (These may also have nonpolynomial, i.e., brute force, algorithms)
 - Check all possible ... paths/numbers/strings ...

Interlude: Graphs (see Sipser Chapter 0)

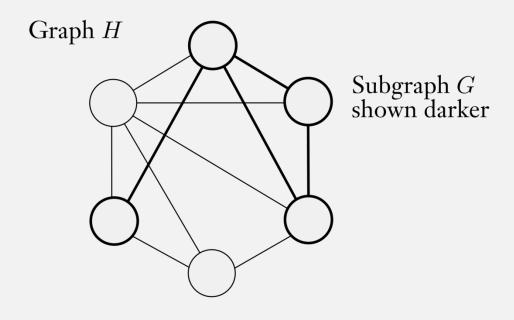


- Edge defined by two nodes (order doesn't matter)
- Formally, a graph = a pair (V, E)
 - Where *V* = a set of nodes, *E* = a set of edges

Interlude: Weighted Graphs



Interlude: Subgraphs



Interlude: Paths and other Graph Things

Path

A sequence of nodes connected by edges

Cycle

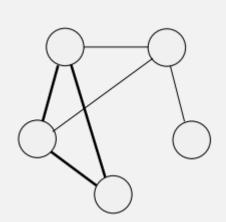
• A path that starts/ends at the same node

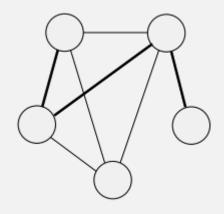


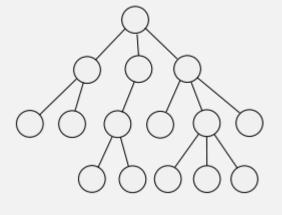
Every two nodes has a path

Tree

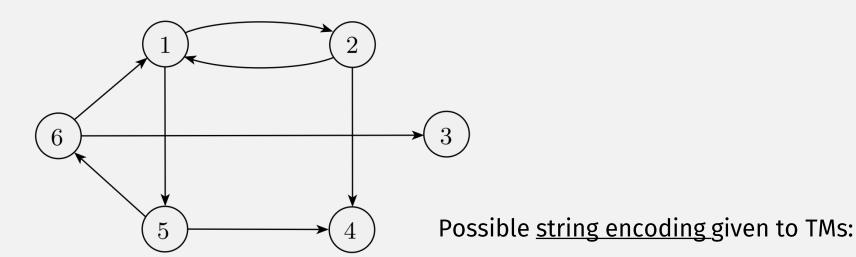
A connected graph with no cycles







Interlude: Directed Graphs



 $(\{1,2,3,4,5,6\}, \{(1,2),(1,5),(2,1),(2,4),(5,4),(5,6),(6,1),(6,3)\})$

- Directed graph = (V, E)
 - *V* = set of nodes, *E* = set of edges
- An edge is a pair of nodes (u,v), order now matters
 - u = "from" node, v = "to" node

Each pair of nodes included twice

- "degree" of a node: number of edges connected to the node
 - Nodes in a directed graph have both indegree and outdegree

Interlude: Graph Encodings

```
({1,2,3,4,5}, {(1,2), (2,3), (3,4), (4,5), (5,1)})
```

- For graph algorithms, "length of input" n usually = # of vertices
 - (Not number of chars in the encoding)
- So given graph G = (V, E), n = |V|
- Max edges?
 - $\bullet = O(|V|^2) = O(n^2)$
- So if a set of graphs (call it lang L) is decided by a TM where
 - # steps of the TM = polynomial in the # of vertices

 Or polynomial in the # of edges
 - Then L is in P

3 Problems in **P**

• A <u>Graph</u> Problem:

 $PATH = \{\langle G, s, t \rangle | G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$

• A Number Problem:

 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

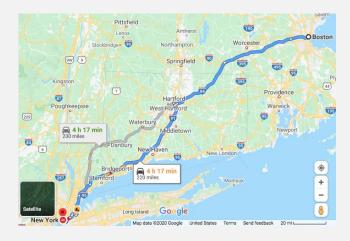
• A CFL Problem:

Every context-free language is a member of P

$$P = \bigcup_{l} TIME(n^k)$$

 $PATH = \{\langle G, s, t \rangle | G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$

(A **path** is a **sequence of** nodes connected by edges)



- To prove that a language is in P ...
- ... we must construct a polynomial time algorithm deciding the lang
- A non-polynomial (i.e., "brute force") algorithm:
 - · check all possible combination of all vertices,
 - see if any connect s to t
 - If n = # vertices, then # paths $\approx n^n$ or n! (worse than $2^{O(n)}$)

 $PATH = \{\langle G, s, t \rangle | G \text{ is a directed graph that has a directed path from } s \text{ to } t\}$

PROOF A polynomial time algorithm M for PATH operates as follows.

M = "On input $\langle G, s, t \rangle$, where G is a directed graph with nodes s and t:

- **1.** Place a mark on node s.
- 2. Repeat the following until no additional nodes are marked:
- 3. Scan all the edges of G. If an edge (a, b) is found going from a marked node a to an unmarked node b, mark node b.
- **4.** If t is marked, accept. Otherwise, reject."

of steps (worst case) (n = # nodes):

<u>▶ Line 1</u>: 1 step

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- <u>Line 1</u>: **1** step
- <u>Lines 2-3 (loop)</u>:
 - ightharpoonup Steps/iteration (line 3): max # steps = max # edges = $O(n^2)$

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(Breadth-first search)

- <u>Line 1</u>: **1** step
- Lines 2-3 (loop):
 - Steps/iteration (line 3): max # steps = max # edges = $O(n^2)$
 - # iterations (line 2): loop runs at most n times
 - $ightharpoonup Total: O(n^3)$

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- <u>Line 1</u>: **1** step
- Lines 2-3 (loop):
 - Steps/iteration (line 3): max # steps = max # edges = $O(n^2)$
 - # iterations (line 2): loop runs at most n times
 - Total: $O(n^3)$
- **>** <u>Line 4</u>: **1** step

$$P = \bigcup_{k} TIME(n^k)$$

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of steps (worst case) (n = # nodes):

- Line 1: 1 step
- <u>Lines 2-3 (loop)</u>:
 - Steps/iteration (line 3): max # steps = max # edges = $O(n^2)$
 - # iterations (line 2): loop runs at most n times
 - Total: $O(n^3)$
- <u>Line 4</u>: **1 step**
- $ightharpoonup Total = 1 + 1 + O(n^3) = O(n^3)$

 $PATH \in TIME(\mathbf{n}^3)$

 $O(n^3)$

3 Problems in **P**

• A <u>Graph</u> Problem:

 $PATH = \{\langle G, s, t \rangle | G \text{ is a directed graph that has a directed path from } s \text{ to } t\}$

• A Number Problem:

 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

• A CFL Problem:

Every context-free language is a member of P

A Number Theorem: $RELPRIME \in P$

 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

- Two numbers are **relatively prime**: if their gcd = 1
 - gcd(x, y) = largest number that divides both x and y
 - E.g., gcd(8, 12) = ??
- Brute force (exponential) algorithm deciding *RELPRIME*:
 - Try all of numbers (up to x or y), see if it can divide both numbers

Q: Why is this exponential?

<u>HINT</u>: What is a typical "representation" of numbers?

A: binary numbers

(if $x = 2^n$, then trying x numbers is exponential in n, the number of digits)

- A gcd algorithm that runs in polynomial time:
 - Euclid's algorithm

A GCD Algorithm for: $RELPRIME \in P$

 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

Modulo (i.e., remainder)

15 mod 8 = 7 17 mod 8 = 1

cuts x (at least) in half every loop, requires: loops

The Euclidean algorithm E is as follows. $E = \text{"On input } \langle x, y \rangle$, where x and y are natural numbers in binary:

1. Repeat until y = 0:

2. Assign $x \leftarrow x \mod y$.

3. Exchange x and y.

4. Output x."

Each number is cut in half every other iteration

Total run time (assume x > y): $2\log x = 2\log 2^n = O(n)$, where n = number of binary digits in (ie length of) x

3 Problems in **P**

• A Graph Problem:

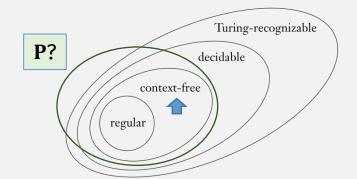
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✓ • A <u>Number</u> Problem:

 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

• A CFL Problem:

Every context-free language is a member of P



IF-THEN Statement to Prove:

IF a language L is a CFL, THEN L is in **P**

Review: A Decider for Any CFL

Given any CFL L, with CFG G, the following decider M_G decides L:

```
M_G =  "On input w:
```

- **1.** Run TM S on input $\langle G, w \rangle$.
- 2. If this machine accepts, accept; if it rejects, reject."

S is a decider for: $A_{CFG} = \{\langle G, w \rangle | G \text{ is a CFG that generates string } w\}$

S = "On input $\langle G, w \rangle$, where G is a CFG and w is a string:

- 1. Convert G to an equivalent grammar in Chomsky normal form.
- 2. List all derivations with 2n-1 steps, where n is the length of w; except if n=0, then instead list all derivations with one step.
- 3. If any of these derivations generate w, accept; if not, reject."

 M_G is a decider, bc S is a decider

 M_G accepts all $w \in L$, for any CFL L (with CFL G)

Therefore, every CFL is decidable

But, is every CFL decidable in poly time?

A Decider for Any CFL: Running Time

Given any CFL L, with CFG G, the following decider M_G decides L:

```
M_G =  "On input w:
```

- **1.** Run TM S on input $\langle G, w \rangle$.
- 2. If this machine accepts, accept; if it rejects, reject."

$$A \to 0A1$$

$$A \to B$$

$$B \to \#$$

S is a decider for: $A_{CFG} = \{\langle G, w \rangle | G \text{ is a CFG that generates string } w\}$

How many different possibilities at <u>each</u> derivation step?

S = "On input $\langle G, w \rangle$, where G is a CFG and w is a string:

- 1. Convert G to an equivalent grammar in Chomsky normal form.
- 2. List all derivations with 2n-1 steps, where n is the length of w; except if n=0, then instead list all derivations with one step.
- **3.** If any of these derivations generate w, accept; if not, reject."

$A \Rightarrow 0A1 \Rightarrow \dots$

Worst case: $|R|^{2n-1}$ steps = $O(2^n)$ (R = set of rules)

This algorithm runs in exponential time

A CFL Theorem: Every context-free language is a member of P

• Given a CFL, we must construct a decider for it ...

• ... that runs in polynomial time

Dynamic Programming

- Keep track of partial solutions, and re-use them
 - Start with smallest and build up
- For CFG problem, instead of re-generating entire string ...
 - ... keep track of <u>substrings</u> generated by each variable

S = "On input $\langle G, w \rangle$, where G is a CFG and w is a string:

- 1. Convert G to an equivalent grammar in Chomsky normal form.
- 2. List all derivations with 2n-1 steps, where n is the length of w; except if n=0, then instead list all derivations with one step.
- 3. If any of these derivations generate w, accept; if not, reject."

This <u>duplicates a lot of work</u> because many strings might have the <u>same beginning derivation steps</u>

- Chomsky Grammar *G*:
 - $S \rightarrow AB \mid BC$
 - $A \rightarrow BA \mid a$
 - $B \rightarrow CC \mid b$
 - $C \rightarrow AB \mid a$
- Example string: baaba
- Store every partial string and their generating variables in a table

Substring end char

		b	a	a	b	a
	b					
Substring <u>start</u> char	a					
	a					
	b					
	a					

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Substring end char

		b	a	a	b	a
	b	vars generating "b"	vars for "ba"	vars for "baa"	•••	
Substring <u>start</u> char	a		vars for "a"	vars for "aa"	vars for "aab"	
	a			•••		
	b					
	a					

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Substring end char

		U	a	a	U	a
	b	vars generating "b"	vars for "ba"	vars for "baa"		
Substring start char	a		vars for "a"	vars for "aa"	vars for "aab"	
start char	a			•••		
	b					
	a					

Algo:

- For each single char c and var A:

- If $A \rightarrow c$ is a rule, add A to table

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- If $A \rightarrow c$ is a rule, add A to table

Substring end char

		b	a	a	b	a
	b	В				
ubstring	a		A,C			
ubstring tart char	a			A,C		
	b				В	
	a					A.C

- Chomsky Grammar *G*:
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Algo:

- **For** each single char c and var A:
 - If $A \rightarrow c$ is a rule, add A to table
- For each substring s (len > 1):
 - **For** each split of substring s into x,y:
 - For each rule of shape A \rightarrow BC:
 - Use table to check if B
 generates x and C generates y

Substring end char

		b	a	a	b	a
	b	В				
Substring start char	a		A,C			
	a			A,C		
	b				В	
	a					A,C

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Substring end char

		D	a	a
	b	В	←	
Substring start char	a		A,C	
start char	a			A,C
	b			
	a			

Algo:

- **For** each single char c and var A:
 - If $A \rightarrow c$ is a rule, add A to table
- For each substring s:
 - **For** each split of substring s into x,y:
 - **For** each rule of shape A → BC:
 - lise table to check if R

For substring "ba", split into "b" and "a":

- For rule $S \rightarrow AB$
 - Does A generate "b" and B generate "a"?
 - NO
- For rule $S \rightarrow BC$
 - Does B generate "b" and C generate "a"?
 - YES
- For rule A \rightarrow BA
 - Does B generate "b" and A generate "a"?
 - YES
- For rule $B \rightarrow CC$
 - Does C generate "b" and C generate "a"?
 - NO
- For rule $C \rightarrow AB$
 - Does A generate "b" and B generate "a"?
 - NO

- Chomsky Grammar *G*:
 - $S \rightarrow AB \mid BC$
 - $A \rightarrow BA \mid a$
 - $B \rightarrow CC \mid b$
 - $C \rightarrow AB \mid a$
- Example string: baaba
- Store every partial string and their gen

Substring end char

a

	b	В	S,A <	
Substring start char	a		A,C	
start char	a			A,C
	b			
	a			

Algo:

- For each single char c and var A:
 - If A \rightarrow c is a rule, add A to table
- For each substring s:
 - **For** each split of substring s into x,y:
 - **For** each rule of shape A → BC:
 - lise table to check if R

For substring "ba", split into "b" and "a":

- For rule $S \rightarrow AB$
 - Does A generate "b" and B generate "a"?
 - NO
 - \rightarrow For rule S \rightarrow BC
 - Does B generate "b" and C generate "a"?
 - YES

- For rule A → BA

- Does B generate "b" and A generate "a"?
- YES
- For rule $B \rightarrow CC$
 - Does C generate "b" and C generate "a"?
 - NO
- For rule $C \rightarrow AB$
 - Does A generate "b" and B generate "a"?
 - NO

• Chomsky Grammar G:

- For each: C
- - char
- var
- $C \rightarrow AB \mid a$

For each:

- substring
- split of substring
- rule

a

ing**: baaba**

partial string and their ge....

Substring end char

Algo:

For each: char, var ...

- For each single char c and var A:
 - If $A \rightarrow c$ is a rule, add A to table
- For each substring For each: substring, split, rule ...
 - For each split of substring s into x,y:
 - For each rule of shape A → BC:
 - Use table to check if B generates x and C generates y

h a b a If S is here, accept В S,A **→** S,A,C b S,A,C A,C R R A,C S,C B B S,A b A,C

Substring start char

A CFG Theorem: Every context-free language is a member of P

```
D = "On input w = w_1 \cdots w_n:
       For each: 1. For w = \varepsilon, if S \to \varepsilon is a rule, accept; else, reject. [w = \varepsilon \text{ case }]
       - char — 2. For i = 1 to n: O(n) chars [examine each substring of length 1]
                   3. For each variable A: #vars = constant = O(1)
       - var-
                            Test whether A \to b is a rule, where b = w_i.
                                                                                 O(\mathbf{1}) * O(\mathbf{n}) = O(\mathbf{n})
                            If so, place A in table(i, i).
                   6. For l=2 to n: O(n) diff lengths [l] is the length of the substring
For each:
- substring -
                   7. For i = 1 to n - l + 1: O(n) strings of each length substring
- split of substring
                   8. Let j = i + l - 1. [i] is the end position of the substring [i]
- rule
                        For k = i to j - 1: O(n) ways to split a string into two pieces
                  10. For each rule A \to BC: #vars = constant = O(1)
                                 If table(i, k) contains B and table(k + 1, j) contains
                  11.
                                  C, put A in table(i, j).
                                                            O(1) * O(n) * O(n) * O(n) = O(n^3)
                  12. If S is in table(1, n), accept; else, reserve
```

Total: $O(n^3)$

(This is also known as the <u>Earley parsing algorithm</u>)

Summary: 3 Problems in **P**

✓ • A <u>Graph</u> Problem:

 $PATH = \{\langle G, s, t \rangle | G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$

✓ • A <u>Number</u> Problem:

 $RELPRIME = \{\langle x, y \rangle | x \text{ and } y \text{ are relatively prime} \}$

✓ • A CFL Problem:

Every context-free language is a member of P

Lecture participation question 5/6

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